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<b>(54) Title:</b> PRODUCTION OF ENZYMES IN SEEDS AND THEIR USE  <b>(57) Abstract</b>  A method of catalyzing <i>in vitro</i> reactions using seeds containing enhanced amounts of enzymes is disclosed. The method involves adding transgenic, non-wild type seeds, preferably in a ground form, to a reaction mixture and allowing the enzymes in the seeds to increase the rate of reaction. By directly adding the seeds to the reaction mixture the method provides a solution to the expensive and problematic process of extracting and purifying the enzyme. Methods of treatment are also provided whereby a subject lacking a sufficient supply of an enzyme is administered the enzyme in the form of seeds containing enhanced amounts of the enzyme.		

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PRODUCTION OF ENZYMES IN SEEDS AND THEIR USE

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Field of the Invention

The instant invention pertains to the production of enzymes of interest in the seeds of transgenic plants and the use of the thus-produced seeds in industrial processes, without the need for extraction and/or isolation of the enzyme.

Background of the Invention

A number of industries are using enzymes for their processes. These include detergents, textiles, dairy, food and beverage, feed and other industries.

At the present, enzymes are produced on an industrial scale by fermentation processes or are isolated from plant or animal sources. Microbially produced enzymes include proteases, amylases, cellulases, pectinases, phytases and others. Enzyme production by a fermentation process is highly efficient and production levels of more than 10 grams per liter culture medium can be reached.

The possibility of using transgenic plants as a production system for valuable proteins has been proposed. Examples to date are the production of interferon in tobacco (Goodman *et al.*, 1987), enkephalins in tobacco, *Brassica napus* and *Arabidopsis thaliana* (Vandekerckhove *et al.*, 1989), antibodies in tobacco (Hiatt *et al.*, 1990) and human serum albumin in tobacco and potato (Sijmons *et al.*, 1990).

In practice, the transformation of an increasing number of plant species, especially dicotyledonous species (e.g. tobacco, potato, tomato, *Petunia*, *Brassica*), has become a routine procedure for workers skilled in the art (Klee *et al.*, 1987; Gasser & Fraley, 1989). Strategies for the expression of foreign genes in plants have become well established (Gasser & Fraley, 1989). Regulatory sequences from plant genes have been identified that are used for the construction of chimeric genes that can be functionally

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expressed in plants and plant cells.

For the introduction of gene constructions into plants, several technologies are available, such as transformation with Agrobacterium tumefaciens or Agrobacterium rhizogenes.  
5 Using this strategy, a wide variety of plant tissues have been exploited, the choice being largely dependent on the plant species and its amenability in tissue culture. Successful examples are the transformation of protoplasts, microspores or pollen, and explants such as leaves, stems,  
10 roots, hypocotyls and cotyls. Furthermore, methods for direct DNA introduction in protoplasts and plant cells or tissues are used such as microinjection, electroporation, particle bombardment and direct DNA uptake (Gasser & Fraley, 1989).

Proteins may be produced in plant seeds using a variety  
15 of expression systems. For instance, the use of a constitutive promoter such as the 35S promoter of Cauliflower Mosaic Virus (CaMV) (Guilley et al., 1982) will result in the accumulation of the expressed protein in the seeds, inter alia, of the transgenic plant. Alternatively, use may be made  
20 of promoters from genes encoding seed storage proteins. Seed storage proteins are expressed in a highly tissue-specific and stage-specific manner (Higgins, 1984; Shotwell & Larkins, 1989), i.e., the genes are expressed only in seed and only during the stage of seed development.

25 A seed storage protein (reviewed in Higgins, 1984; Shotwell & Larkins, 1989) is defined as any protein which accumulates in significant quantities (up to 90% of total seed protein) in the developing seed and which on germination is hydrolyzed to provide a nutritional source for the early  
30 stages of seedling growth. The proteins are contained in an intracellular compartment called the protein body or storage vacuole. This protein body contains protease inhibitors and creates a protease-free environment. The proteases that degrade the storage proteins become active 3-6 days after  
35 germination (Larkins, 1981).

Many seed storage protein genes have been isolated and characterized, as well as their 5' and 3' flanking regions

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(reviewed by Casey & Domoney, 1987). Examples for the globulins and albumins are the glycinin and conglycinin genes of soybean (Fischer & Goldberg, 1982; Harada et al., 1989), the legumin and vicilin genes from pea (Lycett et al., 1984; Higgins et al., 1988), the 11S field bean gene (Baumlein et al., 1986), the 7S phaseolin gene from Phaseolus (Doyle et al., 1986), the cruciferin and napin genes from Brassica (Ryan et al., 1989; Scofield & Crough, 1987; Radke et al., 1988), the helianthin gene from sunflower (Vonder Haar et al., 1988; Jordano et al., 1989) and the 2S albumin and cruciferin genes from Arabidopsis thaliana (Vandekerckhove et al., 1989; Pang et al., 1988). Other examples may be found in the genes encoding the prolamins and glutelins (Casey & Domoney, 1987). Generally, the storage proteins are encoded by multigene families.

Seed storage protein genes have been transferred to tobacco, petunia and rapeseed (Okamura et al., 1986; Beachy et al., 1984; Sengupta-Gopalan et al., 1985; Higgins et al., 1988; Ellis et al., 1988; Barker et al., 1988, Vandekerckhove et al., 1989; Altenbach et al., 1989). The 5' upstream regulatory region of beta-phaseolin from pea was used to direct the expression of beta-glucuronidase (Bustos et al., 1989), phytohemagglutinin (Voelker et al., 1989), luciferase (Riggs et al., 1989) and zein (Hoffman et al., 1987) in tobacco. The promoter of the Arabidopsis thaliana 2S albumin gene was used to direct the expression of a modified 2S albumin from the same species in tobacco, Brassica napus and Arabidopsis thaliana (Vandekerckhove et al., 1989). The genes mentioned above were expressed in a tissue-specific and developmentally regulated manner, i.e., in seed during seed development. The expression levels in all these reports varied, but reached levels as high as 1.7% of the total seed protein (Voelker et al., 1989). It has been found that cDNA can replace genomic DNA containing introns as the basis for obtaining a functional and stable mRNA in the heterologous expression (Chee et al., 1986). These results demonstrate that a person skilled in the art of plant molecular biology

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can design strategies for seed-specific expression of a given gene in a target plant species that is amenable to transformation technology.

During seed development of dicots, a large part of the total protein synthesis is directed into the vacuole or the protein bodies of storage parenchyma cells. For regulation of this process, the proteins are generally synthesized as precursors. The precursor proteins are equipped with hydrophobic signal peptides, usually at the N-terminus, that are cleaved off at specific stages. A large number of storage protein signal peptides have been described (Doyle *et al.*, 1986; Pang *et al.*, 1988; Vonder Haar *et al.*, 1988; Iturriaga *et al.*, 1989; Dorel *et al.*, 1989; Voelker *et al.*, 1989; Hattori *et al.*, 1985; Lycett *et al.*, 1983; Smith & Raikhel, 1989).

The general applicability of signal peptides in heterologous expressions systems (e.g., Sijmons *et al.*, 1990; Vitale & Bollini, 1986; Slightom *et al.*, 1986; Della-Cioppa *et al.*, 1987) seems to support the idea that a fusion of a signal peptide with a heterologous "passenger protein" may be used for transporting and processing of the passenger protein. The references suggest that a variety of potential "passenger proteins" are candidates for such an expression system.

However, in spite of the attractiveness and viability of the use of plants as bioreactors, the system up until now is not without difficulties. For the examples described above, the plant is used as a bioreactor and the protein of interest is then isolated from the transgenic plant material, i.e., from the tissues containing the highest levels of the protein of interest. The isolation of the protein of interest from the seeds in which it is produced inherently introduces complications as well as added cost (Krebbers & Vandekerckhove, 1990).

A possible solution to this problem may be to avoid the need to extract the expressed protein from the plant material. East German patent DD 275,704 discloses a construct

for the expression of a heat stable beta-glucanase in the ungerminated seeds of transformed barley plants and the use of the seeds in brewing processes. However, a persistent problem in the manipulation of small grain cereal crops has been not only the transformation of the protoplasts of cereal plants but the regeneration of the transformed plants as well, which are not enabled in the patent's disclosure. Thus, it would not be possible to obtain enzyme-containing seeds using the process as described in the publication.

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#### Summary of the Invention

According to the present invention, seeds containing at least one enzyme of interest are provided, which can be used in various industrial processes or in food and feedstuffs as catalysts for digestive reactions, without the need for first extracting and/or isolating said enzymes.

DNA constructs are provided for the transformation of plants which are, in turn, capable of expression of a variety of enzymes of interest in seeds. The constructs employ signal sequences operably linked to a DNA sequence encoding the desired enzyme to be expressed. Such constructs are under the control of regulatory sequences which are capable of directing the expression of the desired enzymes in seeds.

The present invention also provides for the use of the seeds of transgenic plants as a stable and manageable storage form of enzymes. The enzymes are maintained in a dessicated environment which is low in protease activity and thus are protected against degradation.

Moreover, the use of seeds for the storage of enzymes provides a stable vehicle which is easily packaged and transported, and easily handled during actual use.

The present invention further provides a viable solution to the expensive and problematic process of the extraction of enzymes of interest from the seeds in which they are produced. The enzymes remain stable inside the seed and as such may be used as a replacement for the pure enzyme. This benefit, coupled with the low cost of growing seed-producing

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plants, provides an economical source of such enzymes. Thus, the present invention allows for the reduction of costs associated with the production, storage and use of a variety of enzymes.

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#### Description of the Figures

- Figure 1. Strategy for the cloning of phytase cDNA.
- Figure 2. Binary vector pMOG23.
- Figure 3. Genomic sequence of the seed-storage protein gene cruciferin from Brassica napus.
- 10 Figure 4. Synthetic oligonucleotide duplexes used for the various constructions.
- Figure 5. Plasmid pMOG429. Binary vector pMOG23 containing the cDNA part of phytase encoding the mature enzyme downstream of a DNA sequence encoding the cruciferin signal peptide.
- 15 Figure 6. The effects of the addition of ground seeds containing phytase on the liberation of inorganic phosphorous from phytate.
- 20 Figure 7. Genomic sequence of the  $\alpha$ -amylase gene of Bacillus licheniformis as present in vector pPROM54.
- Figure 8. Plasmid pMOG29. Plasmid pUC18 containing an expression cassette for constitutive expression in plants and a sequence encoding a tobacco signal peptide.
- 25 Figure 9. Plasmid pMOG227. Binary vector containing the part of the  $\alpha$ -amylase gene encoding the mature enzyme downstream of a tobacco sequence encoding a signal peptide in an expression cassette for constitutive expression.
- 30 Figure 10. Dose-response relationship of Aspergillus phytase in an in vitro digestion model.
- Figure 11. Dose-response relationship of Aspergillus phytase and phytase contained in tobacco seed in an in vitro digestion model.
- 35 Figure 12. Comparison of oligosaccharide patterns obtained from the hydrolysis of potato starch using A)



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tobacco seeds transformed with the gene encoding Bacillus licheniformis  $\alpha$ -amylase, B) Bacillus licheniformis  $\alpha$ -amylase and C) Bacillus amyloliquefaciens  $\alpha$ -amylase.

5 Figure 13. Comparison of oligosaccharide patterns obtained from the hydrolysis of corn starch using A) tobacco seeds transformed with the gene encoding Bacillus licheniformis  $\alpha$ -amylase, B) Bacillus licheniformis  $\alpha$ -amylase and C) Bacillus amyloliquefaciens  $\alpha$ -amylase.  
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#### Detailed Description of the Preferred Embodiments

Enzymes of interest which may be produced by the present invention include any enzymes which are capable of use in an  
15 industrial process.

The enzymes of interest include enzymes which are heterologous to the plant (i.e. not native to the plant species) in which they are produced. Also intended are enzymes, homologous to the plants (i.e. native to the plant  
20 species) in which they are produced, which are overexpressed via recombinant DNA techniques.

Such enzymes are selected from hydrolases such as proteases, cellulases, hemi-cellulases, phosphatases, lipases, pectinases, amylases, lysozymes, pullulanases and  
25 chitinases; lyases such as pectinlyase; and isomerases such as glucose isomerase.

Preferred enzymes are phytase,  $\alpha$ -amylase, cellobiohydrolase, endo-glucanase, endo-xylanase, endo-galactanase,  $\alpha$ -galactosidase, arabinanase, serine-proteases, chymosin, papain, gastric lipases, pectin lyase and glucose  
30 isomerase.

By industrial processes is intended processes in which extracted and/or isolated enzymes are normally included in a reaction mixture, either in vivo or in vitro, containing at  
35 least one substrate, wherein the enzymes are capable of catalyzing such reaction of the substrate(s) so as to produce desired effects or products.

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Examples of such industrial processes include, but are not limited to, in vitro processes such as the use of phytases in soy processing or in an industrial process such as wet milling or for the production of inositol or inositol-phosphates from phytate. Hemi-cellulases and cellulases may also be used as cell wall degrading enzymes, in general. In a like manner,  $\alpha$ -amylases may be used in the baking industry to improve the consistency of baked products;  $\alpha$ -amylase, amyloglucosidase, xylanases and/or glucose isomerase may be used in starch liquefaction; ligninases and/or xylanases may be used in the paper industry; glucanases, pectinases and/or cellulases may be used in the food and beverage industry, e.g., in fermentation or brewing processes.

Apart from the above-mentioned action of enzymes in in vitro processes, the enzymes stored in seeds may be used to catalyze digestive reactions in vivo. In this manner, the enzymes facilitate the release of nutrients from foodstuffs which otherwise would not be readily available to the animal which ingests them.

Enzymes to be used in such in vivo processes include, but are not limited to, phytases, cellulases, hemi-cellulases, pectinases and amylases. The enzymes as will lead to improved digestion of the foodstuffs. Enzymes as digestive aids can also be used in humans, for instance in illnesses such as cystic fibrosis or other causes of pancreatic insufficiencies. Lipases, proteases, and cellulases contained in seeds may be used as therapeutic additives to alleviate digestive problems associated with such illnesses.

According to the present invention, the desired enzyme is produced in the seeds of transgenic plants. The thus-produced seeds are in turn used in an industrial process which requires the enzyme contained therein, without the need for first extracting and/or isolating the enzyme.

It will be appreciated by those skilled in the art that seeds containing enzymes for industrial use may be directly used in such processes, or may first be prepared for such use by means of grinding to the desired consistency. In either

case, the whole or ground seeds may be fed as such into the desired process without the need for further extraction and/or isolation of the enzyme, and also without loss of activity of the enzyme.

- 5 Transgenic plants, as defined in the context of the present invention, include plants and their progeny, which have been genetically modified to cause or enhance production of at least one enzyme of interest in their seeds. The production of the enzymes of interest is thus increased over  
10 the level found in the wild-type plant variety.

In the context of the present invention, the phrase "seeds containing an enhanced amount of enzyme" refers specifically to a statistically significant number of seeds which, on average, contain a statistically significant  
15 greater amount of an enzyme as compared with the average amount of enzyme in an equal number of non-modified seeds.

Plant genera which are capable of producing the enzyme of interest in their seed by practice of the present invention include, but are not limited to, Nicotiana (e.g.,  
20 tabacum), Brassica (e.g., napus and oleracea), Arabidopsis, Glycine (e.g., max), Zea (e.g., mays), Amaranthus, Hordeum (e.g., vulgarum), and Pisum (e.g., sativum), Juglans (e.g. regia), Arachis (e.g. hypogaeae), Medicago (e.g. sativa), Phaseolus (e.g. vulgaris), Pisum (e.g. sativum), Triticum  
25 (e.g. aestivum), Panicum L., Helianthus (e.g. annus), Avena (e.g. sativa) and Oryza (e.g. sativa).

Preferably, the species of choice must have a large production of seed per plant per annum and the chemical and physical properties of the seed should be compatible with the  
30 industrial process for which the enzyme is produced. For instance, in some cases when these seeds (after transformation of the parent plant) are to be included in foodstuffs, one may choose a plant species that produces seeds which are low in tannins or other antinutritional  
35 factors. In other cases the ability of the transgenic seeds to be ground to the desired consistence may be the criterion of choice when the seeds are used as additives, e.g., in

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flour. In yet another embodiment, the seeds containing the enzymes of interest may be directly applied to the desired process (e.g. in feedstuffs), per se, optionally preceded by dehusking and/or drying after harvesting.

5       The choice of the most suitable plant species can be based on reconstitution experiments. The enzyme of interest can be added together with wild-type seeds to the industrial process for which the transgenic seeds will eventually be produced.

10       The genetic modification of the above-described seed-producing plants intends that an expression construct containing a gene encoding an enzyme of interest is introduced into the target plant. This expression construct may include a gene, heterologous to the plant, which is under  
15 the control of promoter and termination regions capable of regulating the expression of the gene encoding the enzyme of interest in the seeds of the plant. Also intended is a gene, homologous to the plant which is under the control of a regulatory region capable of effecting the overproduction of  
20 the enzyme of interest. By overproduction is intended production of the enzyme of interest at levels above that which is found in the wild-type plant variety.

Several techniques are available for the introduction of the expression construct containing a DNA sequence encoding  
25 an enzyme of interest into the target plants. Such techniques include but are not limited to transformation of protoplasts using the calcium/polyethylene glycol method, electroporation and microinjection or (coated) particle bombardment (Potrykus, 1990).

30       In addition to these so-called direct DNA transformation methods, transformation systems involving vectors are widely available, such as viral vectors (e.g. from the Cauliflower Mosaic Virus (CaMV)) and bacterial vectors (e.g. from the genus Agrobacterium) (Potrykus, 1990). After selection and/or  
35 screening, the protoplasts, cells or plant parts that have been transformed can be regenerated into whole plants, using methods known in the art (Horsch, R.B. et al., 1985). The

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choice of the transformation and/or regeneration techniques is not critical for this invention.

For dicots, a preferred embodiment of the present invention uses the principle of the binary vector system (Hoeckema *et al.*, 1983; Schilperoort *et al.*, 1984) in which Agrobacterium strains are used which contain a vir plasmid with the virulence genes and a compatible plasmid containing the gene construct to be transferred. This vector can replicate in both E. coli and in Agrobacterium, and is derived from the binary vector Bin19 (Bevan, 1984) which is altered in details that are not relevant for this invention. The binary vectors as used in this example contain between the left- and right-border sequences of the T-DNA, an identical NPTII-gene coding for kanamycin resistance (Bevan, 1984) and a multiple cloning site to clone in the required gene constructs.

The transformation and regeneration of monocotyledonous crops is not a standard procedure. However, recent scientific progress shows that in principle monocots are amenable to transformation and that fertile transgenic plants can be regenerated from transformed cells. The development of reproducible tissue culture systems for these crops, together with the powerful methods for introduction of genetic material into plant cells has facilitated transformation. Presently the methods of choice for transformation of monocots are microprojectile bombardment of explants or suspension cells, and direct DNA uptake or electroporation of protoplasts. For example, transgenic rice plants have been successfully obtained using the bacterial hph gene, encoding hygromycin resistance, as a selection marker. The gene was introduced by electroporation (Shimamoto *et al.*, 1989). Transgenic maize plants have been obtained by introducing the Streptomyces hygroscopicus bar gene, which encodes phosphinothricin acetyltransferase (an enzyme which inactivates the herbicide phosphinothricin), into embryogenic cells of a maize suspension culture by microparticle bombardment (Gordon-Kamm *et al.*, 1990). The introduction of

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genetic material into aleurone protoplasts of other monocot crops such as wheat and barley has been reported (Lee et al., 1989). Wheat plants have been regenerated from embryogenic suspension culture by selecting only the aged compact and nodular embryogenic callus tissues for the establishment of the embryogenic suspension cultures (Vasil et al., 1990). The combination with transformation systems for these crops enables the application of the present invention to monocots. These methods may also be applied for the transformation and regeneration of dicots.

The expression of recombinant genes in plants involves such details as transcription of the gene by plant polymerases, translation of mRNA, etc., which are known to persons skilled in the art of recombinant DNA techniques. Only details relevant for the proper understanding of this invention are discussed below.

Regulatory sequences which are known or are found to cause sufficiently high expression (for the purpose of the specific application, as discussed below) of the recombinant DNA in seeds, can be used in the present invention. Such regulatory sequences may be obtained from plants or plant viruses, or chemically synthesized. Such regulatory sequences are promoters active in directing transcription in seeds. These include, but are not limited to, promoters from seed-specific genes, especially those of storage protein genes, such as the *cruA* promoter of Brassica napus (Ryan et al., 1989) or promoters of constitutively expressed genes, such as the 35S promoter of CaMV (Cauliflower Mosaic Virus) (Guilley et al., 1982). Other regulatory sequences are terminator sequences and polyadenylation signals, including every sequence functioning as such in plants; examples are the 3' flanking region of the nopaline synthase gene of Agrobacterium tumefaciens or the 3' flanking region of the *cruA* gene of Brassica napus. The regulatory sequences may also include enhancer sequences, such as found in the 35S promoter of CaMV, and mRNA stabilizing sequences such as the leader sequence of Alfalfa Mosaic Virus (AlMV) RNA4

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(Brederode et al., 1980) or any other sequences functioning as such.

The protein of interest should be in an environment that allows optimal stability of the protein during seed  
5 maturation. The choice of cellular compartments, such as cytosol, endoplasmic reticulum, vacuole, protein body or periplasmic space can be used in the present invention to create such a stable environment, depending on the biophysical parameters of the protein of interest. Such  
10 parameters include, but are not limited to, pH-optimum, sensitivity to proteases or sensitivity to the molarity of the preferred compartment. Although homologous signal sequences are preferred, heterologous signal sequences may be used as well. Especially preferred are signal sequences  
15 obtained from seed storage proteins.

The seed storage proteins can be divided into four major classes based on solubility characteristics:

1. Albumins--soluble in water and subdivided in two main classes (12S and 2S). The 12S class includes lectins  
20 isolated from pea and various beans, e.g., 2S albumins from Brassica napus, Arabidopsis thaliana, Ricinus communis (castor bean), Bertholletia excelsa (Brazil nut), pea, radish and sunflower.

2. Globulins--soluble in salt solutions and may be  
25 either of the 7-8S class like the phaseolins from Phaseolus, the vicilins from pea, the conglycinins from soybean, the oat-vicilins from oat and 7S globulins from other species, or of the 11-14S class such as the legumins from pea, the glycinins from soybean, the helianthins from sunflower, the  
30 cruciferins from rape or 11-14S proteins from other species such as Arabidopsis and bean.

3. Prolamins--soluble in aqueous alcohol, e.g., zeins from corn, the hordeins from barley, the gliadins isolated from wheat and the kafirins from sorghum.

35 4. Glutelins--soluble in acidic or alkaline solutions and may be isolated from wheat.

Although there are exceptions, the major storage

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proteins in seed of dicotyledonous plants are globulins, and those of monocotyledonous plants are prolamins and glutelins.

All parts of the relevant DNA constructs (promoters; regulatory, stabilizing, signal or termination sequences) of the present invention may be modified, if desired, to affect their control characteristics using methods known to those skilled in the art. The amount of recombinant protein (the "expression level") needed in the seed should be sufficiently high to use the transgenic seed as a minor additive (on a volume, weight or cost base) in all preferred embodiments of the present invention.

A number of methods may be used to obtain transgenic plants of which the seeds contain more than one enzyme of interest. These include, but are not limited to:

- a. Cross-fertilization of transgenic plants expressing one or more enzymes of interest.
- b. Plant transformation with a DNA fragment or plasmid that contains multiple genes encoding enzymes of interest, using the necessary regulatory sequences.
- c. Plant transformation with different DNA fragments or plasmids simultaneously, each containing a gene for an enzyme of interest, using the necessary regulatory sequences.
- d. Successive transformation of plants, each time using a DNA fragment or plasmid encoding a different enzyme of interest under the control of the necessary regulatory sequences.

- e. A combination of the methods mentioned above.

The actual method used to obtain seeds which contain more than one enzyme of interest is not critical with respect to the objective of this invention.

It is pointed out that seeds containing enhanced amounts of enzymes could be obtained by processes, known to those skilled in the art, other than those recombinant processes mentioned above provided those processes result in obtaining seeds with enhanced amounts of enzymes as compared with the wild-type seeds. For example, it might be possible to obtain such seeds by the use of somaclonal variation techniques.



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Further, such techniques could be used by themselves or in combination with breeding techniques which employ the concept of cytoplasmic male sterility (Cms) or nuclear male sterility (Nms) (Mariani et al., 1990). Techniques such as somaclonal variation and cross-breeding involving the use of Cms or Nms could be used in combination with the recombinant technologies mentioned above in order to further increase the relative amounts of enzymes present within the seeds. With respect to non recombinant techniques which might be utilized to enhance the amount of enzymes within seeds reference is made to U.S. Patent No. 4,378,655 issued 7 April 1983 which patent is incorporated herein by reference to disclose such techniques. It is pointed out that there are numerous publications describing breeding techniques involving cytoplasmic male sterility which was discovered by P. LeClercq in 1968 and the corresponding dominant fertility restoring genes (Rf) which were discovered by M.L. Kinmar et al. in 1970. Recently, the use of nuclear male sterility has been described by Mariani et al. in 1990. More generalized disclosures relating to plant breeding are discussed within James R. Welsh "Fundamentals of Plant Genetics and Breeding", 1981 as well as within J.M. Poehlman, "Breeding Field Crops", 1959.

In one embodiment of the present invention, a double-stranded cDNA encoding phytase is prepared from mRNA isolated from Aspergillus ficuum (van Gorcom et al., 1991). The DNA construct is placed under the control of regulatory sequences from the 12S storage protein cruciferin from Brassica napus. The construct is thereafter subcloned into a binary vector such as pMOG23 (in E. coli K-12 strain DH5 $\alpha$ , deposited at the Centraal Bureau voor Schimmelcultures, Baarn, the Netherlands on January 29, 1990, under accession number CBS 102.90). This vector is introduced into Agrobacterium tumefaciens which contains a disarmed Ti plasmid. Bacterial cells containing this construct are cocultivated with tissues from tobacco or Brassica plants, and transformed plant cells are selected by nutrient media containing antibiotics and induced to

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regenerate into differentiated plants on such media. The resulting plants produce seeds that contain and express the DNA construct.

In another embodiment of the present invention, the  
5 phytase-encoding DNA construct is placed under the control of regulatory sequences from the 35S promoter of Cauliflower Mosaic Virus (CaMV). The construct is thereafter subcloned into a binary vector. This vector is then introduced into Agrobacterium tumefaciens which contains a disarmed Ti  
10 plasmid. Bacterial cells containing this construct are co-cultivated with tissues from tobacco or Brassica plants, and transformed plant cells are selected by nutrient media containing antibiotics and induced to regenerate into differentiated plants on such media. The resulting plants  
15 contain and express the DNA construct constitutively.

The phytase enzyme activity of the transgenic seeds may be determined by a variety of methods, not critical to the present invention, such as an ELISA assay, Western blotting or direct enzyme assays using colorimetric techniques or  
20 native gel assays.

The seeds containing the thus-produced phytase can be used in industrial processes such as in feed additives for nonruminants, in soy processing, or in the production of inositol or inositol phosphates from phytate. If necessary or  
25 desired, the seeds may first be ground to the desired consistency before use, depending on the nature of the industrial process, without the need for further extraction and/or isolation of the phytase. One of ordinary skill in the art may determine whether such preparatory steps are  
30 necessary.

Phytase produced in seed can also be used in a process for steeping corn or sorghum kernels. Seeds may be ground before adding to steeping corn. Phytase liberated from the seeds can act on phytin, which is present in many corn  
35 preparations. Degradation of phytin in steeping corn is beneficial for the added commercial value of corn steep liquor, which is used as animal feed or as a nutrient in

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microbial fermentations. Furthermore, the degradation of phytin can prevent problems relating to the accumulation of deposits in filters, pipes, reactor vessels, etc., during concentration, transport and storage of corn steep liquor (Vaara et al., 1989). The action of phytase can also accelerate the steeping process and the separation processes involved in corn wet milling.

In yet another embodiment of the present invention, a genomic DNA fragment encoding  $\alpha$ -amylase from Bacillus licheniformis is placed under the control of the CaMV 35S promoter and enhancer sequences. The mRNA stabilizing leader sequence of RNA4 from AlMV is included, as well as the terminator and polyadenylation signal sequences of the nopaline synthase gene of Agrobacterium tumefaciens. The entire construct is thereafter subcloned into a binary vector. This vector is introduced into Agrobacterium tumefaciens which contains a disarmed Ti plasmid. Bacterial cells containing this construct are cocultivated with tissues from tobacco plants, and transformed plant cells are selected by nutrient media containing antibiotics and induced to regenerate into differentiated plants on such media. The resulting plants produce seeds that contain and express the DNA construct.

The  $\alpha$ -amylase enzyme activity of the transgenic seeds may be determined via methods, not critical to the present invention, such as direct enzyme assays using colorimetric techniques or native gel assays.

The seeds can be used as a source of  $\alpha$ -amylase, which can be directly used in industrial processes, such as the preparation of starch syrups. Preferably, the seeds are first ground and the entire (ground) mixture may be used in the process, as may be determined by one of ordinary skill in the art.

The following examples are provided so as to give those of ordinary skill in the art a complete disclosure and description of how to make and use the invention and are not intended to limit the scope of what the inventors regard as

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their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, pH, etc.) but some experimental errors and deviation should be accounted for. Unless indicated otherwise, temperature is in 5 degrees Centigrade and pressure is at or near atmospheric.

### Example 1

#### Isolation of poly A<sup>+</sup> RNA From Aspergillus ficuum.

A. ficuum strain NRRL 3135 is grown in a medium  
10 containing 22.72 g/l maize flour (amylase treated at pH 7 at 85°C during 15 minutes), 9.36 g/l glucose, 2.9 g/l KNO<sub>3</sub>, 0.142 g/l KCl, 0.142 g/l MgSO<sub>4</sub>·7H<sub>2</sub>O and 56.8 mg/l FeSO<sub>4</sub>·7H<sub>2</sub>O. After 6 days the mycelium is harvested.

Dry mycelium (0.5 g) is frozen with liquid nitrogen and  
15 ground. Subsequently, the material is homogenized with an Ultra turrax (full speed, 1 minute) at 0°C in 3M LiCl, 6M urea and maintained overnight at 4°C as described by Auffray & Rougeon (1980). Total cellular RNA is obtained after centrifugation at 16,000 x g followed by two successive  
20 extractions with phenol:chloroform:isoamyl alcohol (50:48:2). The RNA is precipitated with ethanol and redissolved in 1 ml 10 mM Tris-HCl (pH 7.4), 0.5% SDS. For poly A<sup>+</sup> selection, the total RNA sample is heated for 5 minutes at 65°C, adjusted to 0.5M NaCl and subsequently applied to an oligo(dT)-cellulose  
25 column. After several washes with a solution containing 10 mM Tris pH 7.0, 1 mM EDTA and 0.1 mM NaCl, the poly A<sup>+</sup> RNA is collected by elution with 10 mM Tris pH 7.0 and 1 mM EDTA.

### Example 2

#### Preparation and Cloning of a cDNA encoding Phytase

For the synthesis of the first strand of the cDNA 5 µg  
of poly A<sup>+</sup> RNA, isolated according to Example 1, is dissolved in 16.5 µl H<sub>2</sub>O and the following components are added: 2.5 µl  
RNasin (30 U/µl), 10 µl of a buffer containing 50 mM Tris-HCl  
35 pH 7.6, 6 mM MgCl<sub>2</sub> and 40 mM KCl, 2 µl 1M KCl, 5 µl 0.1M DTT, 0.5 µl oligo(dT)<sub>12-18</sub> (2.5 mg/ml), 5 µl 8 mM dNTP-mix, 5µl BSA (1 mg/ml) and 2.5 µl Moloney MLV reverse transcriptase (200

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U/ $\mu$ l). The mixture is incubated for 30 minutes at 37°C and the reaction is stopped by addition of 10  $\mu$ l 0.2M EDTA and 50  $\mu$ l H<sub>2</sub>O. An extraction is performed using 110  $\mu$ l chloroform and after centrifugation for 5 minutes 5M NH<sub>4</sub>Ac and 440  $\mu$ l absolute ethanol (-20°C) are added to the aqueous layer. Precipitation is done in a dry ice/ethanol solution for 30 minutes. After centrifugation (10 minutes at 0°C), the cDNA/mRNA pellet is washed with 70% ice-cold ethanol. The pellet is dried and dissolved in 20  $\mu$ l of H<sub>2</sub>O.

10 Isolation of the cDNA encoding phytase is performed with the polymerase chain reaction (PCR) in two fragments. The two fragments are combined, using the BamHI site within the gene to create a full-length cDNA. The strategy for the cloning of the phytase cDNA is shown in Figure 1.

15 Sequencing of the phytase gene (van Gorcom *et al.*, 1991) reveals the presence of a BamHI site at approximately 800 basepairs from the initiation codon. The nucleotide sequence around this BamHI site, as well as the nucleotide sequence preceding the start codon and the nucleotide sequence after  
20 the stop codon of the phytase gene are used to design oligonucleotides for the PCR.

The polymerase chain reaction is performed according to the supplier of Tag-polymerase (Cetus) using 1.5  $\mu$ l of the solution containing the reaction product of the first strand  
25 synthesis and 0.5  $\mu$ g of each of the oligonucleotides. Amplification is performed in a DNA amplifier of Perkin Elmer/Cetus. After 25 cycles of 2 minutes at 94°C, 2 minutes at 55°C, and 3 minutes at 72°C, the reaction mixture is deproteinized by subsequent phenol and chloroform  
30 extractions. The DNA is precipitated, redissolved in a buffer containing 10 mM Tris, pH 7 and 0.1 mM EDTA and subsequently digested with suitable restriction enzymes.

For the amplification of the fragment encoding the N-terminal part of the protein, the two following oligo-  
35 nucleotides are used:

Oligo 1: 5' GGGTAGAATTCAAAAATGGGCGTCTCTGCTGTTCTA 3'

Oligo 2: 5' AGTGACGAATTCGTGCTGGTGGAGATGGTGTTCG 3'

- 20 -

The amplified fragment is digested with EcoRI and cloned into the EcoRI site of pTZ18R (purchased from Pharmacia). Restriction site mapping and nucleotide sequencing demonstrate the authenticity of the fragment. The resulting  
5 plasmid is named pGB925.

For the amplification of the second fragment, the following two oligonucleotides are used:

Oligo 3: 5' GAGCACCAAGCTGAAGGATCC 3'

Oligo 4: 5' AAACGTCAGGCGTTGAGTGTGATTGTTTAAAGGG 3'

10 The amplified fragment is digested with BamHI and PstI and subsequently cloned into pTZ18R, which has been digested with BamHI and PstI. Restriction site mapping and nucleotide sequencing show that the correct fragment is isolated. The resulting plasmid is named pGB926.

15 In order to isolate a full-length cDNA, pGB925 is digested with EcoRI and BamHI and the fragment containing the phytase-encoding DNA is isolated. This fragment is cloned into plasmid pGB926 which has been digested with EcoRI and BamHI, resulting in plasmid pGB927. Plasmid pGB927 contains a  
20 full-length cDNA encoding phytase, with an approximate size of 1.8 kbp.

### Example 3

#### Construction of the binary vector pMOG23

25 In this example, the construction of the binary vector pMOG23 (in E. coli K12 DH5 $\alpha$ , deposited at the Centraal Bureau voor Schimmel-cultures on January 29, 1990 under accession number CBS 102.90) is described.

The binary vector pMOG23 (Figure 2) is a derivative of  
30 vector Bin19 (Bevan, M., 1984). To obtain pMOG23, the vector Bin19 is changed in a way not essential for the present invention, using techniques familiar to those skilled in the art of molecular biology.

First, the positions of the left border (LB) and the  
35 right border (RB) are switched with reference to the neomycine phosphotransferase gene II (NPTII gene). Secondly, the orientation of the NPTII gene is reversed giving

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transcription in the direction of LB. Finally, the polylinker of Bin19 is replaced by a polylinker with the following restriction enzyme recognition sites: EcoRI, KpnI, SmaI, BamHI, XbaI, SacI, XhoI, and HindIII.

5

#### Example 4

##### Cloning of the phytase cDNA of Aspergillus ficuum in an expression construct for constitutive expression in plants

The phytase gene from Aspergillus ficuum is tailored and  
10 cloned in an expression construct for constitutive expression downstream of the Cauliflower Mosaic Virus 35S promoter. The expression construct also contains the coding information for a signal peptide sequence of plant origin.

The phytase cDNA is cloned into the expression construct  
15 as present on plasmid pMOG29 (described under a)). Subsequently the entire construct is introduced into the binary vector pMOG23 and transferred to Agrobacterium tumefaciens strain LBA4404.

##### 20 a) Construction of expression vector pMOG29

The expression construct of ROK1 (Baulcombe et al., 1986) is cloned as an EcoRI/HindIII fragment into pUC18. This construct contains the Cauliflower Mosaic Virus (CaMV) 35S promoter on an EcoRI/BamHI fragment and the nopaline synthase  
25 (nos) transcription terminator on a BamHI/HindIII fragment. The promoter fragment consists of the sequence from -800 to +1 of the CaMV 35S promoter. Position +1, which is included, is the transcription initiation site (Guilley et al., 1982). The sequence upstream of the NcoI site at position -512 is  
30 deleted and this site is changed into an EcoRI site. This is done by cutting the expression construct present in pUC18 with NcoI, filling in the single-stranded ends with Klenow polymerase and ligation of an EcoRI linker. The resulting plasmid is cut with EcoRI, resulting in the deletion of the  
35 EcoRI fragment carrying the sequences of the 35S promoter upstream of the original NcoI site. The BamHI/HindIII fragment, containing the nos terminator is replaced by a

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synthetic DNA fragment (oligonucleotide duplex A, Figure 4) containing the leader sequence of RNA4 of Alfalfa Mosaic Virus (AlMV) (Brederode *et al.*, 1980). This is done by cleavage with BamHI, followed by cleavage with HindIII and ligation of the synthetic DNA fragment. The BamHI site and three upstream nucleotides are deleted by site-directed mutagenesis. In the resulting plasmid, the BamHI/HindIII fragment containing the nos terminator sequence is reintroduced. The gene encoding  $\beta$ -glucuronidase (originating from plasmid pRAJ 275; Jefferson, 1987) was ligated in as an NcoI/BamHI fragment, resulting in plasmid pMOG14. From the literature it is known that duplication of the sequence between -343 and -90 increases the activity of the 35S promoter (Kay *et al.*, 1987). To obtain a promoter fragment with a double, so-called enhancer sequence, the following steps, known to those skilled in the art, are carried out. From plasmid pMOG14, the enhancer fragment is isolated on an AccI/EcoRI fragment and subsequently blunt-ended with Klenow polymerase. The obtained fragment is introduced in pMOG14 cut with EcoRI and blunt-ended, in such a way that the border between the blunt-ended EcoRI and AccI sites generate a new EcoRI site. The resulting plasmid (pMOG18) contains the 35S promoter with a double enhancer sequence, the leader sequence of RNA4 from AlMV and the nos terminator in an expression construct still present on an EcoRI/HindIII fragment. Finally, the NcoI/BamHI fragment encoding  $\beta$ -glucuronidase is replaced with the synthetic DNA fragment B (Figure 4), derived from the PROB12 cDNA (Cornelissen *et al.*, 1986). This fragment B encodes the PR-protein PR-S signal peptide sequence from tobacco Samsun NN. An SphI site is created in the signal peptide encoding DNA sequence by changing one nucleotide. This change does not alter the amino acid sequence of the encoded PR-S signal peptide. The resulting plasmid is called pMOG29 (Figure 8).

35

b) Cloning of the phytase gene from *Aspergillus ficuum* in the binary vector



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Oligonucleotide duplex C (Figure 4) is cloned into plasmid pMOG29, digested with SphI and BamHI, resulting in plasmid pMOG407. The oligonucleotide duplex contains the coding information for the final 2 amino acids of the signal peptide of PR-S, followed by the first 6 amino acids of mature phytase.

The plasmid pGB927, which contains the full length phytase cDNA, is digested with XhoI (partially) and PstI. The XhoI/PstI fragment comprising the DNA sequences encoding mature phytase from amino acid 6 onward is cloned into plasmid pMOG407 linearized with XhoI and PstI, resulting in plasmid pMOG417. The entire construct, containing the chimaeric phytase gene, is inserted as an EcoRI/HindIII fragment into the binary vector pMOG23 linearized with EcoRI and HindIII. The resulting binary plasmid pMOG413 is mobilized, in a triparental mating with the E. coli K-12 strain RK2013 (containing plasmid pRK2013) (Ditta et al., 1980), into Agrobacterium tumefaciens strain LBA4404 that contains a plasmid with the virulence genes necessary for T-DNA transfer to the plant.

#### Example 5

##### Transient expression of a chimaeric phytase gene in tobacco protoplasts

Protoplasts of tobacco are transformed with plasmid DNA carrying the chimaeric phytase gene under regulation of the constitutive CaMV 35S promoter. After 72 hours treated protoplasts are assayed for transient expression of the introduced phytase gene using the phytase activity assay.

Protoplasts are prepared from axenically grown 1-2 months old tobacco plants (Nicotiana tabacum SR1). The entire procedure is described by Rodenburg et al. (1989). For transformation a number of  $5 \cdot 10^5$  protoplasts is electroporated with 40  $\mu$ g DNA of plasmid pMOG417). After electroporation protoplasts are resuspended in 3 ml of K3G medium. For the phytase activity assay protoplasts are pelleted and the 3 ml of supernatant is dialyzed overnight

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against an excess of water. The dialysate is freeze-dried and resuspended in 300  $\mu$ l 25 mM sodium-acetate pH 5.5. The assay is then carried out as described in detail in Example 10, with the only exception that instead of the 250 mM Glycine HCl buffer pH 2.5, a 25 mM sodium acetate buffer pH 5.5. is used.

In these experiments, one phytase unit is defined as 1  $\mu$ M phosphate released from 1.5 mM sodium phytate solution per minute at 37°C at pH 5.5.

10 In untreated protoplasts no detectable activity is found. Protoplasts electroporated with plasmid pMOG417 show an activity of 0.26 PTU (Phytase units, see Example 10) per mg protein in the supernatant.

15 Example 6

Stable expression of a chimaeric phytase gene in tobacco plants under the control of the CaMV 35S promoter

Tobacco is transformed by cocultivation of plant tissue with Agrobacterium tumefaciens strain LBA4404 containing the binary vector pMOG413 with the chimaeric phytase gene under regulation of the CaMV 35S promoter. Transformation is carried out using cocultivation of tobacco (Nicotiana tabacum SRI) leaf discs according to Horsch et al. (1985). Transgenic plants are regenerated from shoots that grow on selection medium (100 mg/l) kanamycin), rooted and transferred to soil. Young plants are assayed for NPTII-activity (kanamycin resistance), grown to maturity and allowed to self-pollenate and set seed.

To determine the phytase activity found in the transgenic seeds, about 50 mg is taken and homogenized with a pestle in an ice-cold mortar in 1 ml 25 mM sodium-acetate buffer pH 5.5. After centrifugation, the supernatant is assayed as described for the transient assays. In independently transformed tobacco plants, a maximum phytase expression level of 0.4% of the total soluble seed protein was observed. No phytase activity could be detected in untransformed plants.

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Two transgenic plant lines, 413.25 and 413.32, were selected on the basis of their high expression levels of phytase (approximately 0.4%) in seeds.

5

#### Example 7

##### Cloning of the phytase cDNA of *Aspergillus ficuum* in a seed-specific expression construct.

An expression construct is constructed in such a way that seed-specific expression is obtained, using sequences of  
10 the *Brassica napus* 12S storage protein gene cruciferin (cruA; Ryan *et al.*, 1989). These sequences may be replaced by those from similar seed-specific genes to achieve the same goal as is the objective of this invention.

The phytase cDNA is cloned into the expression  
15 construct. Finally, the entire construct is introduced into *Agrobacterium tumefaciens*, which is used for transformation. In case of any other protein of interest cloning of the gene or cDNA is done in essentially the same way as described here for the phytase cDNA.

20 For all *E. coli* transformations in this example, *E. coli* K-12 strain DH5 $\alpha$  is used.

##### a) Construction of the expression construct

For the construction of the expression construct for  
25 seed-specific expression, the promoter and terminator sequences from the cruciferin A (cruA) gene of *Brassica napus* cv. Jet Neuf are synthesized using PCR technology with isolated genomic DNA (Mettler, I.J., 1987) as a template. This gene shows seed-specific expression and its coding and  
30 flanking sequences have been determined (Ryan *et al.*, 1989).

Two sets of oligonucleotides are synthesized. One to allow amplification of the cruA 5' flanking region and part of the signal peptide encoding sequence as an *EcoRI*/*NcoI* fragment:

35 5' GTTCGGAATTCGGGTCCGG 3' and 5' AACTGTTGAGCTGTAGAGCC 3'.

The other for amplification of the 3' flanking sequence as a *BglII*/*HindIII* fragment:

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5' CTTAAGATCTTACCCAGTGA 3' and 5' CGGAGAAGCTTGCATCTCGT 3'.

The oligo's are designed to contain suitable restriction sites at their termini to allow direct assembly of the expression construct after digestion of the fragments with the restriction enzymes.

The 5' fragment of the *cruA* gene, that includes 54 nucleotides of the sequence encoding the signal peptide is cloned into vector pMOG445 (oligonucleotide duplex E (Figure 4) cloned into vector pUC18, linearized with SstI and EcoRI), cut with EcoRI and NcoI, resulting in vector pMOG424. The synthetic oligonucleotide duplex D (Figure 4), comprising the final 5 coding triplets for the signal sequence of *Brassica napus* cruciferin, the sequence encoding amino acids 1-6 of mature phytase and a multiple cloning site, is cloned in vector pMOG424 cut with NcoI and HindIII. The resulting vector is called pMOG425. The 3' *cruA* PCR fragment is cloned as a BglII/HindIII fragment into pMOG425 digested with BglII and HindIII, resulting in pMOG426.

b) Cloning of the phytase gene from *Aspergillus ficuum* in the binary vector

Plasmid pGB927, which contains the full-length coding sequence for *Aspergillus ficuum* phytase, is digested with XhoI (partially) and with PstI. The XhoI/PstI fragment comprising the DNA sequences encoding mature phytase from amino acid 6 onward is cloned in vector pMOG426, cut with XhoI and PstI. From the resulting vector pMOG428, the entire construct, containing the chimeric phytase gene, is inserted as an EcoRI/HindIII fragment in the binary vector pMOG23 linearized with EcoRI and HindIII. The resulting binary vector pMOG429 (Figure 5) is mobilized, in a triparental mating with the *E. coli* K-12 strain RK2013 (containing plasmid pRK2013) (Ditta *et al.*, supra), into *Agrobacterium* strain LBA4404 (Hoekema *et al.*, 1983, supra) which contains a plasmid with the virulence genes necessary for T-DNA transfer to the plant.

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Example 8Stable seed-specific expression of phytase in tobacco  
seeds under the control of a cruciferin promoter

Agrobacterium strain LBA4404, containing the binary  
5 vector pMOG429 with the phytase cDNA under the control of the  
cruciferin promoter, is used for transformation experiments.  
Transformation of tobacco (Nicotiana tabacum SR1) is carried  
out using co-cultivation of leaf discs according to the  
procedure of Horsch et al. (1985). Transgenic plants are  
10 regenerated from shoots that grow on selection medium (100  
mg/l kanamycin). Young plants are assayed for NPTII-activity  
(kanamycin resistance), grown to maturity and allowed to  
self-pollenate and set seed. Seeds from individual  
transformants are pooled and part of the seed sample is  
15 assayed for the presence of phytase. From clones with the  
highest expression levels, compared to untransformed control  
seeds, the remaining seeds are germinated on kanamycin (200  
mg/L) (hence also transgenic for phytase) and selected and  
used for mass propagation of plants capable of producing the  
20 highest amounts of phytase in their seeds. These can then be  
used, e.g. for digestion experiments.

To determine the phytase activity found in the  
transgenic seeds, about 50 mg seed is taken and homogenized  
with a pestle in an ice-cold mortar in 1 ml 25 mM sodium-  
25 acetate buffer pH 5.5. After centrifugation, the supernatant  
is assayed as described for the transient assays. In 55  
independently transformed tobacco plants, a maximum phytase  
expression level of 0.15% of the total soluble seed protein  
was observed. Phytase activity was not detected in stems,  
30 roots and leaves of the transgenic plants. No phytase  
activity could be detected in untransformed plants.

Example 9Transformation of rapeseed

35 In this example, the transformation of rapeseed by co-  
cultivation of plant tissue with Agrobacterium tumefaciens,  
containing a binary vector with the chimeric phytase gene is

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described. Transgenic plants may be selected on antibiotic resistance. The transgenic plants may be assayed for phytase activity. High expressors may be analyzed more thoroughly and used in further experiments.

- 5       The same chimeric phytase construct in a binary vector (pMOG429) is mobilized into Agrobacterium tumefaciens strain LBA4404, in a like manner as described in Example 7. This strain may be used to transform rapeseed (Brassica napus cv. Westar). To this aim, surface-sterilized stem segments taken
- 10 from 5 to 6 week-old plants, just before flowering, are preconditioned for 24 h on MS medium (Fry et al., 1987) with 1 mg/l BAP and then co-cultivated for 48 h with Agrobacterium on fresh plates with the same medium. Transgenic plantlets may be regenerated from shoots that grow on selection medium
- 15 (500 mg/l carbenicilline, 40 mg/l paromomycin) and further analyzed as described in example 8 for tobacco.

#### Example 10

##### Phytase activity assay

- 20       A total of 25 mg of seeds from Nicotiana tabacum plant line 413.25 which in total contain approximately 0.25 PTU were ground. (PTU = Phytase units. One unit of phytase activity is defined as that amount of enzyme which liberates inorganic phosphorus from 1.5 mM sodium phytate at the rate
- 25 of 1  $\mu$ mol/min at 37°C and at pH 2.5).

- The ground seed is incubated in a total volume of 50 ml of a 250 mM glycine/HCl buffer pH 2.5 containing 0.86 g sodium phytate.11 H<sub>2</sub>O. Although Aspergillus phytase expresses a pH optimum at 2.5 as well as at 5.5, the lower pH is chosen
- 30 to exclude plant phytase activity.

- The resulting mixture is incubated for 15 and 60 minutes at 37°C. The reaction is stopped by the addition of 5 ml from the incubate into 5 ml of 10% TCA (trichloroacetic acid). Thereafter, 10 ml of indicator reagent (3.66 g FeSO<sub>4</sub>·7H<sub>2</sub>O in
- 35 50 ml of ammonium molybdate solution (2.5 g (NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>·4H<sub>2</sub>O and 8 ml conc. H<sub>2</sub>SO<sub>4</sub>, diluted up to 250 ml with demi water)) is added to the stopped enzyme solution. The intensity of the

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blue color is measured spectrophotometrically at 700 nm.

The inorganic phosphate content present at  $T = 0$  serves as a blank.

The measurements are indicative of the quantity of phosphate released in relation to a calibration curve of phosphate in the range of 0-1 mM.

#### Example 11

##### Incubation of ground *Nicotiana tabacum* seeds with feedstuffs

10 In a typical experiment, 0.25 g of solvent extracted soybean meal is incubated with 25 mg of ground *Nicotiana tabacum* seeds (plant line 413.25) containing approximately 0.25 PTU as described above, except for the addition of sodium phytate. In this case, the added incubation agent  
15 consists of a mixture of 410 ml buffer and 90 ml of demi water.

The liberation of phosphate from phytate in solvent extracted soybean meal is depicted in Figure 6. Without added ground seeds, no activity is observed.

20 In a virtually identical experiment, similar results are obtained using maize gluten feed as a substrate. Results are shown in Figure 6.

No activity is observed in the absence of ground seeds or when ground seeds are added which do not contain phytase  
25 activity.

#### Example 12

##### In vitro testing of transgenic seeds containing phytase under conditions simulating the digestive tract of poultry

30 To assess the effectivity of phytase produced in transgenic tobacco seeds, the activity of phytase from *Aspergillus* is determined in a model simulating the conditions found in the digestive tract in poultry.

A standard poultry feed sample is first incubated at 1  
35 g/15 ml demi water for 60 minutes at 39°C to simulate the conditions in the crop of the animals. Subsequently, 5 ml of a pepsin solution (Merck: 5.28 g/l, pH 3.0 - adjusted with

- 30 -

HCl) is added, the pH adjusted with HCl to pH 3.0, and the incubation is continued for a further 90 minutes at the same temperature to simulate the conditions in the stomach.

During the incubation period, samples were taken in order to determine the amount of phosphate released from the phytate present in the feed.

The action of fungal phytase is apparent from Figure 10. Increasing the phytase dosage from 250 to 1000 PTU/kg feed results in an increased release of phosphate from the feed sample.

When a sample of transgenic tobacco seed (lines 413.25 or 413.32; after grinding in a mortar) is added in place of the fungal phytase, a similar increased phosphate release is observed (Figure 11). Control tobacco seed, which did not contain phytase, was also tested. No phosphate release was observed as compared to the blank control.

Comparison of the results with 50 g transgenic tobacco seed/kg feed with those obtained with 500 and 750 PTU/kg feed indicates that 1 g tobacco seed equals approximately 12 PTU in this in vitro poultry digestion model.

#### Example 13

##### Animal testing

Trials are carried out with broilers to show the efficacy of phytase expressed in plant seeds, as well as the absence of any negative effect of seeds from tobacco on zootechnical results.

Phytase-expressing tobacco seeds and control seed are harvested. Seeds were ground in 100 gram portions with a sieve (Retch-mill ZM1) having pores of 500  $\mu$ m, taking care to keep the seeds cooled.

One day old male broiler chicks (Hybro) are housed in two tier battery cages (0.45 m<sup>2</sup>). The ambient temperature is 32°C during the first two days and is decreased by 4°C in the first week. Every following week, the temperature is decreased by 2°C. Broilers are reared in a one hour light and three hours dark regime.



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The birds are vaccinated against New Castle Disease at one day of age using Clone 30 vaccine. During the experiments, the broilers are fed the experimental diets all mash and ad libitum. Growth and feed/gain ratios are measured during the experimental periods. Apparent availability of total phosphorus is measured in a three day period, during which feed consumption is measured as dry matter intake and excreta are collected quantitatively.

Apparent availability of phosphorus is defined as the difference between intake of phosphorus and excretion of phosphorus with the excreta.

The following control diets without addition of phytase are used:

	Ca	total P	phytate P
Diets	(%)	(%)	(%)
1	0.60	0.45	0.30
2	0.75	0.60	0.30
3	0.90	0.75	0.30

No graded feed phosphate is added to diet 1 (basal diet). Calcium and phosphorus from a mixture of anhydrous dicalcium phosphate and monoammonium phosphate (ratio 5:1) are supplemented to diets 2 and 3. All experimental diets are obtained by additions to the basal diet (see Table 1).

Experimental diet 4 contains microbial phytase at a concentration of 400 PTU/kg feed, prepared as described by Van Gorcom *et al.* (1991).

Experimental diet 5 is like diet 4 but ground seeds of non-transgenic tobacco are added to the feed mixture to achieve a final ratio of 3 kg/90 kg feed.

Experimental diet 6 is also like diet 4 but 3 kg ground seeds of transgenic tobacco (line 413.25) are added to a mixture of 90 kg feed to obtain a final concentration of 400 PTU/kg feed.

The experiment is carried out with 176 broilers in 16 battery cages (11 per battery cage) until the age of 24 days. The treatments (diets) are repeated twice and are assigned

- 32. -

randomly to the cages within each tier.

The availability of phosphorus is measured from 21-24 days of age.

The results with regard to phosphorous availability and growth of the animals supplied with diets 4, 5 and 6 each show the positive effect of the addition of phytase (Table 2). A comparison of diets 4, 5 and 6 also demonstrates that the inclusion of tobacco seeds in feed is compatible with the action of microbial phytase in the gastro-intestinal tract of farm animals such as broilers and shows no negative effect on the zootechnical results.

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Table 1  
Composition of Basal Diet in Experiments With Broilers

	<u>Ingredients</u>	<u>Contents (g/kg)</u>
5	Yellow maize	280.0
	Sorghum (low tannin)	200.0
	Sunflower seed meal (solv. extr.)	80.0
	Soya bean meal (solvent extr., 48.8% protein)	350.0
10	Soya bean oil	58.5
	Vitamins*	5.0
	Minerals*	15.0
	Limestone	1.0
	Synth. methionine	1.0
15	Cr <sub>2</sub> O <sub>3</sub>	0.5
		1001.0
	ME (MJ/kg)	13.1
	Lysine	12.9
20	Methionine + cystine	9.1
	Calcium	6.0 (6.0 - 6.6)**
	Total phosphorus	4.5 (4.7 - 4.7)**
	Organic phytic phosphorus	3.0 (3.1 - 3.1)**
25		

\* Amount supplied per kg diet: 12000 IU vitamin A; 2000 IU vitamin D<sub>3</sub>; 5 IU vitamin E; 1.5 mg vitamin K<sub>3</sub>; 1 mg thiamine; 5 mg riboflavin; 1 mg pyridoxine; 30 mg nicotinic acid; 7.5 mg D-pantothenic acid; 0.015 mg vitamin B<sub>12</sub>; 0.5 mg folic acid; 350 mg choline chloride; 75 mg ethoxyquin; 9.5 g CaCO<sub>3</sub>; 2.5 g NaCl; 0.25 g FeSO<sub>4</sub>; 0.24 g MnSO<sub>4</sub>; 45 mg CuSO<sub>4</sub>; 60 mg ZnSO<sub>4</sub>; 105 mg KI mixture.

\*\* ( ) Analyzed for experiments 1 and 2 respectively.

Table 2  
The Effect of Phytase on the Apparent  
Availability of Total P and Ca, the P Content in  
Manure and the Performance of Broilers

10	Diets	Ca/P (g/kg)	Added phytase (units/kg)	Availability (%)		Amount of P in manure (g) per kg dm feed intake	Growth 0-24 d (g)
				21-24 d	P		
	1	6/4.5	0	49.8	47.2	2.7	338
	2	7.5/6	0	45.6	48.9	3.8	592
	3	9/7.5	0	44.6	46.9	4.9	683
15	4	as 1	400	60.5	58.6	2.1	620
	5	as 1	0	48.5	48.0	2.7	340
	6	as 1	400	60.2	59.3	2.1	615

- 35 -

Example 14Cloning of the  $\alpha$ -amylase gene of *Bacillus licheniformis*  
in an expression cassette for constitutive expression

In this example, the  $\alpha$ -amylase gene from *Bacillus licheniformis* is tailored and cloned in an expression cassette for constitutive expression which also contains the coding information for a signal peptide sequence of plant origin. As a final step, the entire construct is cloned in a binary vector, transferred to *Agrobacterium tumefaciens* strain LBA4404, which is used to transform the plant of interest. Any other gene or cDNA may be cloned in a similar way as is described here for the  $\alpha$ -amylase gene.

All transformations in this example are done in *E. coli* K-12 strain DH5- $\alpha$ .

a) Tailoring of the  $\alpha$ -amylase gene of *Bacillus licheniformis*

The  $\alpha$ -amylase gene from *Bacillus licheniformis* (Figure 7), present in the *Bacillus* vector pPROM54 (deposited at the Centraal Bureau voor Schimmelcultures on November 5, 1985, under accession number CBS 696.85), is digested with *Xba*I and *Bcl*I. The *Xba*I/*Bcl*I fragment is cloned in plasmid pUC18 linearized with *Xba*I and *Bam*HI, resulting in plasmid pMOG318. A *Sal*I/*Bam*HI fragment is synthesized using PCR technology with pMOG318 as a template creating the *Bam*HI site by use of a mismatch primer (indicated in Figure 7). The *Sal*I/*Bam*HI PCR fragment is cloned in plasmid pIC-19R (Marsh *et al.*, 1984) digested with *Sal*I and *Bam*HI, resulting in plasmid pMOG319. The *Sal*I fragment, which contains the 5' end of the  $\alpha$ -amylase gene, from pMOG318 (using the *Sal*I site present in pUC18) is cloned in pMOG319 linearized with *Sal*I. This results in plasmid pMOG320 that contains the entire  $\alpha$ -amylase gene.

b) Construction of vector pMOG29

Vector pMOG29 was constructed as described in Example 4(a).

c) Cloning of the  $\alpha$ -amylase gene from *Bacillus*

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licheniformis in the binary vector

Plasmid pMOG320 is digested with HgaI and BamHI. The HgaI/BamHI fragment, which encodes mature  $\alpha$ -amylase from amino acid 9 onward, is cloned in a three-way ligation with the synthetic oligonucleotide duplex F (Figure 4) into pMOG29 linearized with SphI and BamHI, resulting in plasmid pMOG321. The oligonucleotide duplex has the coding information for the final 2 amino acids of the signal peptide of PR-S and for the first 9 amino acids of mature  $\alpha$ -amylase. The entire construct, containing the chimeric  $\alpha$ -amylase gene, is inserted as an EcoRI/HindIII into the binary vector pMOG23 linearized with EcoRI and HindIII. The resulting binary plasmid pMOG227 (Figure 9) is mobilized, in a triparental mating with the E. coli K-12 strain RK2013 (containing plasmid pRK2013) (Ditta et al., 1980), into Agrobacterium strain LBA4404 that contains a plasmid with the virulence genes necessary for T-DNA transfer to the plant.

Example 15

20      Stable expression of *Bacillus licheniformis*  
          $\alpha$ -amylase in tobacco

In this example tobacco is transformed by cocultivation of plant tissue with Agrobacterium tumefaciens, containing a binary vector with the chimeric  $\alpha$ -amylase gene. Transgenic plants are selected on antibiotic resistance. The seeds of the transgenic plants are assayed for  $\alpha$ -amylase activity. High expressors are analyzed more thoroughly and used in further experiments.

Agrobacterium strain LBA4404 (pMOG227) is used for transformation experiments. Transformation of tobacco (*Nicotiana tabacum* SR1) is carried out using cocultivation of leaf discs according to the procedure of Horsch et al., (1985). Transgenic plants are regenerated from shoots that grow on selection medium (100 mg/l kanamycin). Young plants are assayed for NPTII-activity, grown to maturity and allowed to self-pollenate and set seed. Seeds from individual transformants are pooled and part of the seed sample is assayed for the presence of  $\alpha$ -amylase. From clones with the

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highest expression levels, compared to untransformed control seeds, the remaining seeds are germinated on kanamycin (200 mg/L) (hence also transgenic for  $\alpha$ -amylase) and selected and used for mass propagation of plants capable of producing seeds containing the highest amounts of  $\alpha$ -amylase. A maximum  $\alpha$ -amylase expression level of 0.4% of the total soluble seed protein was observed. These seeds can then be used, e.g. for digestion experiments.

10

Example 16Application of  $\alpha$ -amylase formulated in seeds for the  
liquefaction of starch

Bacillus licheniformis  $\alpha$ -amylase, expressed in tobacco seed, was applied in the liquefaction of starch as follows:

15 100 grams of both  $\alpha$ -amylase-expressing and control tobacco seeds are harvested. Seeds were ground with a sieve (Retchmill ZM1) having pores of 250  $\mu$ m, taking care to keep the seeds cooled. To determine their  $\alpha$ -amylase content, the milled seeds were extracted with 10 volumes of 0.5 M glycine

20 buffer pH 9.0 with 10 mM  $\text{CaCl}_2$  during 30 min at 0°C. The supernatant was used for  $\alpha$ -amylase determination by the Phadebas method (Pharmacia Diagnostics). The units are referred to as TAU (thermostable  $\alpha$ -amylase units).

Liquefaction tests were carried out as follows: starch

25 slurry (composition: 3.3 kg corn or potato starch, D.S. (Dry Substance) 88% (2.904 kg starch); 5.45 l  $\text{H}_2\text{O}$ ; D.S. of slurry becomes 33%; the pH was corrected to 6.5 with 1 N sulfuronic acid or 1 N NaOH. Either milled seeds or microbial  $\alpha$ -amylase were added to an amount equivalent to 4.4 T.A.U./g D.S.) is

30 heated to 100°C as rapidly as possible and this temperature is maintained for 10 minutes. The slurry is then brought to 95°C and maintained at that temperature for 2 hours. Afterwards, the samples were acidified with  $\text{H}_2\text{SO}_4$  to obtain pH 3.5 and placed in a boiling water bath for 10 minutes in

35 order to stop enzymatic activity before the DE (dextrose equivalents) and hydrolysis pattern were determined by HPLC. A column of BIORAD HPX-42A was used for HPLC analysis with demineralized water as eluent.

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The oligosaccharide pattern obtained from the hydrolysis of potato and corn starch using A) transformed plant seeds; B) Maxamyl<sup>R</sup> (Bacillus licheniformis  $\alpha$ -amylase obtained from Gist-brocades N.V., Delft, The Netherlands); and C) Dexlo<sup>R</sup>CL 5 (Bacillus amyloliquefaciens  $\alpha$ -amylase from Gist-brocades) were compared (Figures 12 and 13). The oligosaccharide pattern obtained from transformed plant seeds and Maxamyl<sup>R</sup> are identical, yet both differ from that obtained from Dexlo<sup>R</sup>, confirming that Bacillus licheniformis  $\alpha$ -amylase is 10 produced in plant seeds. The DE values obtained with the plant seeds (Table 3) are in the commercially acceptable range (DE  $\geq$  12, preferably DE  $\geq$  16) (Reilly, 1985).

Table 3

15      Dextrose equivalent (DE) values obtained from  
          hydrolysis of corn and potato starch

	Potato Starch DE	Corn Starch DE
Maxamyl <sup>R</sup> WL7000	18	16
20 Transformed tobacco seeds	16	13
Non-transformed tobacco seeds	0	0
Dexlo <sup>R</sup> CL	15	18

25      While the present invention has been described with reference to specific embodiments thereof, it should be understood by those skilled in the art that various changes may be made and equivalents may be substituted without parting from the true spirit and scope of the invention. In 30 addition, many modifications may be made to adapt a particular situation, material, plant, seed, process, process step or steps to the object, spirit and scope of the invention. All such modifications are intended to be within the scope of the claims appended hereto.



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We claim:

1. A method of catalyzing an in vitro reaction, characterized in that seeds obtained from transgenic plants, the seeds containing an enhanced amount of an enzyme of  
5 interest, are added to a reaction mixture containing a substrate, wherein the enzyme of interest is capable of catalyzing a reaction of the substrate in the reaction mixture.

10 2. The method of claim 1, further characterized in that the enzyme is an enzyme heterologous to a non-transgenic, wild-type form of the plant.

3. The method of claim 1, further characterized in  
15 that the seeds are ground prior to being added to the reaction mixture.

4. The method of claim 1, further characterized in that the grinding is performed after the addition of the seeds  
20 to the reaction mixture.

5. The method of claim 1, further characterized in that the enzyme is a hydrolase enzyme.

25 6. The method of claim 5, further characterized in that the hydrolase enzyme is selected from the group consisting of proteases, cellulases, hemi-cellulases, phosphatases, lipases, pectinases, amylases, lysozymes, pellulanases, and chitinases.

30

7. The method of claim 1, further characterized in that the enzyme is a lyase.

8. The method of claim 7, further characterized in  
35 that the lyase is pectinlyase.

9. The method of claim 1, further characterized in that the enzyme is an isomerase.

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10. The method of claim 9, further characterized in that the isomerase is glucose isomerase.

5 11. The method of claim 1, further characterized in that the enzyme is selected from the group consisting of phytase,  $\alpha$ -amylase, cellobiohydrolase, endo-glucanase, endo-xylanase, endo-galactanase,  $\alpha$ -galactosidase, arabinanase, serine-proteases, chymosin, papain, gastric lipases, pectin  
10 lysases and glucose isomerase.

12. A method of improving the nutritional intake of an animal from a given food or feedstuff, characterized in that seeds obtained from transgenic plants, the seeds containing an  
15 enhanced amount of an enzyme of interest, are combined with the food or feedstuff, wherein the enzyme of interest is capable of catalyzing a digestive reaction in the food or feedstuff which digestive reaction results in increasing the nutritional components in the food which are available to the  
20 animal ingesting the food or feedstuff.

13. The method of claim 12, further characterized in that the enzyme is selected from the group consisting of phytases, cellulases, hemi-cellulases, pectinases and  
25 amylases.

14. A method of treating an animal suffering from a digestive disorder, characterized in that the animal is administered with a pharmaceutically effective amount of seeds  
30 obtained from transgenic plants, the seeds containing an enhanced amount of an enzyme of interest, wherein the enzyme of interest is capable of catalyzing digestive reactions.

15. The method of claim 14, further characterized in  
35 that the seeds are ground prior to their administration to the animal.

16. A method of catalyzing in vitro reactions,

- 45 -

characterized in that seeds obtained from transgenic plants, the seeds containing an enhanced amount of at least one enzyme of interest, are added to a reaction mixture containing a substrate or substrates to be catalyzed, wherein the enzyme or  
5 enzymes of interest are capable of catalyzing a reaction of the substrate or substrates in the reaction mixture.

17. A composition characterized in that the composition contains seeds obtained from transgenic plants, the seeds  
10 containing an enhanced amount of an enzyme of interest.

18. The composition of claim 17, further characterized in that seeds contained in the composition are ground.

15 19. Use of the composition of claim 17 as a food or feedstuff without extraction or purification of the enzyme of interest.

20 20. A transgenic seed-producing plant functionally expressing an enhanced amount of an enzyme of interest in its seeds for direct use in an industrial process.

21. The transgenic seed-producing plant of claim 20 further characterized in that the plant is transformed with  
25 pMOG413.

22. The transgenic seed-producing plant of claim 20 further characterized in that the plant is transformed with  
pMOG429.

30

23. The transgenic seed-producing plant of claim 20 further characterized in that the plant is transformed with  
pMOG227.

35 24. pMOG413.

25. pMOG429.

- 46 -

26. pMOG227.

27. Use of an enzyme being present in an enhanced amount in the seeds of a transgenic plant without further  
5 extraction or purification of the enzyme.

28. Use of the enzyme of claim 27 as a food or feedstuff.

10 29. Use of the enzyme of claim 27 for the improved digestion of foodstuffs or as a therapeutic additive for alleviation of pancreatic insufficiencies.



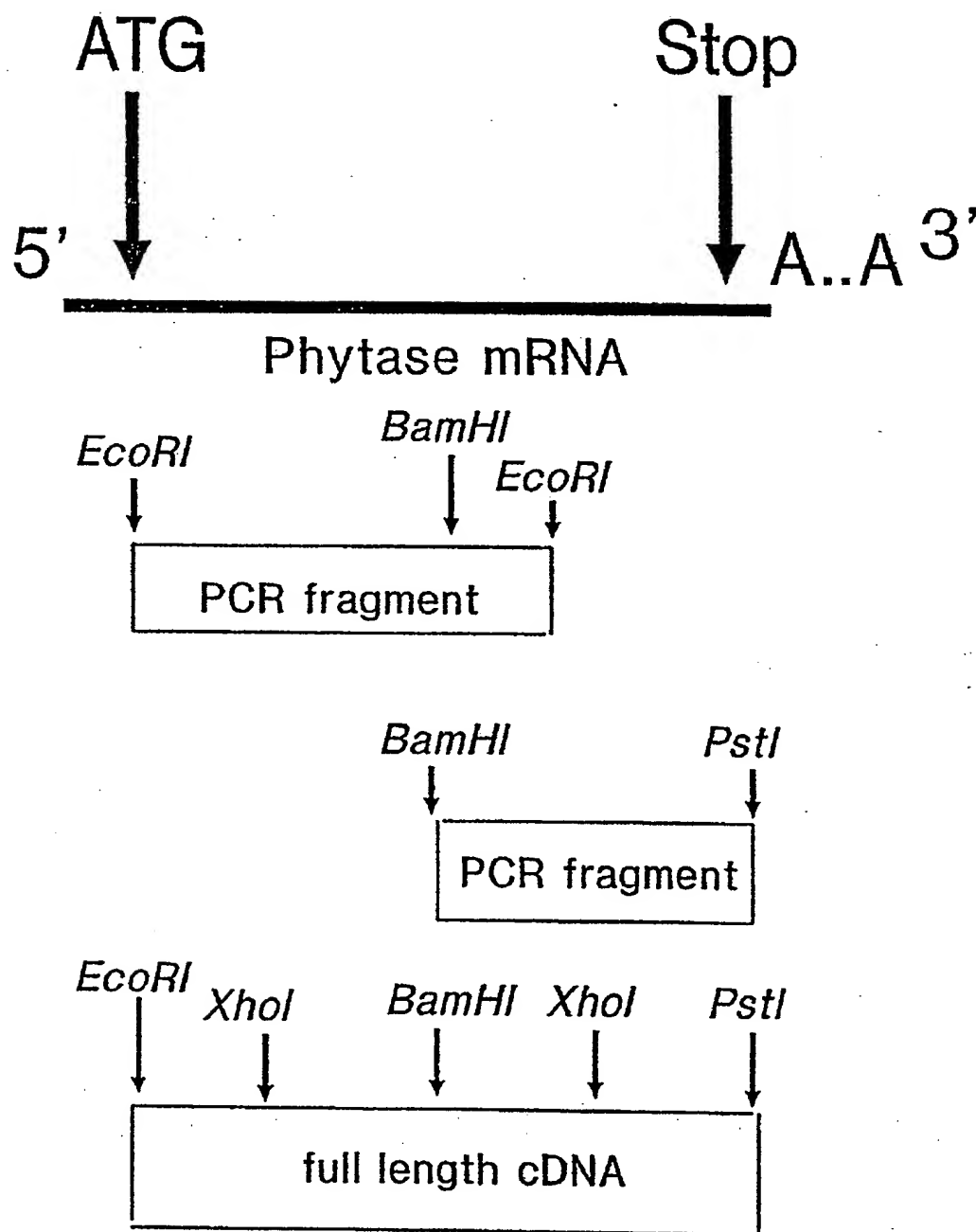
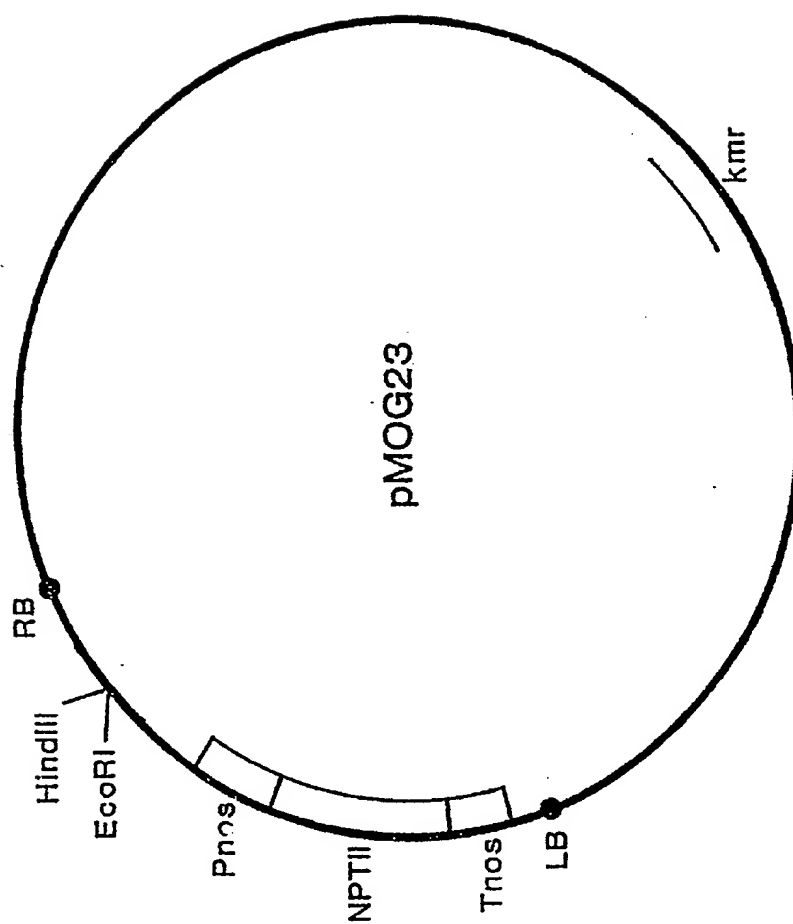


Figure 1



**Polylinker sequence:**

Polymerase sequences.

5' GGAATTCTGGTACCTCCCGGAGGATCCATCTAGAGCTCGAGTAAGCTTC 3'

Eco RI      Kpn I      Sma I      Bam HI      Xba I      Xha I      Hind III

Sac I

figure 2

**figure 2**

# SUBSTITUTE SHEET

3/17

FIGURE 3 (sheet 1 of 3)

ECORI  
TATTACGTT CGGTCCGATA ACGGACGGGT TTTCAGTTCG GGTTCGGTTC GGATTCGGG  
TTCCGGATTT ATATGGCCCT AGCCTAAATTT CGAGTGTGAC CGTTAATCCG TTATACTACG  
ATCTAATCAA AACATGTCTA GATCAAATTT GCAATCTTAT TGCATATTTT TTWGTCTAAC  
AATATTACTA GAAATCTTTG TTTATTACCA ACATTAGTAA AACTATATCT TAACCAAAGT  
TGCAGGAGCA GTTCGTTTCA AACGTAATTG CTATAGTGAT GTTATGTAA ATTGTGTATA  
CTGATCAAAT GTAAAGAATA ATACAATTTT ATATATATCT GACAAACAAA TCAGTATATA  
TATACAAGAA ATATATATTT TGTCCCTATTA CATATGCCCTA TCTCAAAGTT GATGTGTAAA  
GACATGCAGT TCAATAAGCC ATGCAAATTG AGATGTGTC AACTCCCTTC GTTAATATGT  
GTTTCTTAC AATGTGAAGC CAAATTAAAT TTTCAGAAGA AGACATAAAG ATAGCAACTC  
AAATGAAGTG TAGATTGTAC ATAGTCGACT CTATATACCT GGTTCCTTATC TCATTCAATT  
TATCCTCAAA AAAATTTATC AACATCTATA CAAATAAGTT CACTATAAAT AGCTTCATCT  
\*  
AACTCAGCTG TAAGACCAGA AAAACCACAA CAACTAAGTA AAGAGAAAAT GGCTCGGCTC  
NGOI  
TCATCTCTTC TCTCTTTTTC CTTAGCACTT TTGACTTTTC TCCATGGCTC TACAGCTCAA  
CAGTTTCCAA ACGAGTGTCA GCTAGACCAG CTCAATGCAC TGGAGCCGTC ACACGTACTT  
AAGGCTGAGG CTGGTCCGAT CGAGGTGTGG GACCACCAAG CTCTCAGCT ACCTGCTCT  
GGTGTCTCCT TTGTACGTTA CATCATCGAG TCTAAGGGTC TCTACTTGGC CTCTTCTTT  
AGCACCGCGA GGCTCTCCTT CGTGGCTAAA GGTACGTGAA TCTGATTTTG ATACTATATG

SUBSTITUTE SHEET

FIGURE 3 (Sheet 2 of 3)

AGTATCGAGA TTCAAATTG TGATCTTTAA GGTTCAGTCT TTTGAGAAAA GTGTTGTAGT  
AAGTATATCA CTATACACGT GCTAAGGTTT TGATCAATAA CATTATAATA TTTTGTGTT  
TAATTTATAA CCTAATATA TGGTCGATGT TCACAGAACT GCGCACATAA TTTTGTGTT  
TTGGTTTGTI ACATATATAG AGAAGGTCTT ATGGGGAGAG TGGTCTGTG GCGCGAGACA  
TTCCAGGACT CATCAGTGT TCAACCAAGC GGTGGTAGCC CCITCGGAGA AGTTCAGGSC  
CAAGGACAAC AAGGTCAGG CCAAGGCCAC CAAGGTCAAG GCCAAGGACA ACAGGGCCAA  
CAAGGTCAGC AAGGACAACA GAGTCAAGGC CAGGGTTTCC GTGATATGCA CCAGAAAGTG  
GAGCACATAA GGA CTGGGA CACCATCGCT ACACATCCCG GTGTAGCCCA ATGTTCTAC  
AACGACGGAA ACCAACCCT TGTCTCGTT TCCGTCTCG ATTTAGCCAG CCACAGAAAT  
CAGCTCGACC GCAACCCAAG GGTATATAA TAAACAAAA CCTCAAAAGC AATCAAGGGC  
AAATCTCCTT TTTAGCATAT TTCTAAATTT ATATCACAAA AATAGCAATC AAAAATAAAA  
ATGACCAAAA TCATACTTTT CTAAGTTTAT CTTTGA AAAA TTTTAATTTT TTTTATTTTTC  
AAATTTGAAT CTATACGCCC AAACCTCAT TCTCAACCCCT AAACCATAAC CCTAATCTAA  
ACCTTAAACC CTAAACCCCA AACCTTAAC CTAAACCCCT AAATCCTAAA CCCCAGCCCT  
AAACTCTAAA CCTTAAACCC TAAGTTTGTG ACTTTTGATA AAACATTAAG TGCTATTTTG  
TGACTTTGAC CTTGGTGCTA GTTTGAGAAC ATAAACTTGA TTTAGTGCTA TTTTGTGCTT  
TTTCTCATCA TATAACTTCT TTTATAATTA CAGATATCA AAAATATGGT TTTCTGTGTTT  
ATCTGTAGCC ATTTTACTTA GCGGAAACA ACCACAAGG CCAAGTATGG ATAGAAGGAC  
GCGAGCAACA GCCACAAAAG AACATCCCTA ATGGCTTCAC ACCAGAGGTT CTGTCTAAAG  
CTTCTAAGAT CGATGTTAGG ACAGCGCAAC AACTTCAGAA CCAGCAAGAC AACCGTGGAA  
ACATATATCCG AGTCCAAGGC CCATTCACTG TCATTAGGCC GCCTTTGAGG AGTCAGAGAC  
CGCAGGAGGA AGTTAACGGT TTAGAAGAGA CCATATGCAG CGCAGGAGTGC ACCGATAAAC

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FIGURE 3 (Sheet 3 of 3)

TCGATGACCC ATCTAATGCT GACGTATACA AGCCACAGCT CGGTACATC AGCACTCTGA  
 ACAGCTATGA TCTCCCATC CTTCGGCTTC TTCGTCTCTC AGCCCTCCGT GGATCTATCC  
 GTCAAAACGC GATGGTGCTT CCACAGTGA ACGCAAACGC AAACGCGGTT CTCTACGTGA  
 CAGACGGGA AGCCCATGTG CAGGTGGTTA ACGACAACGG TGACAGAGTG TTCGACGGAC  
 AAGTCTCTCA AGGACAGCTA CTTTCCATAC CACAAGGTTT CTCCGTGGTG AAACGCGCAA  
 CAAGCGAACA GTTCCGGTGG ATCGAGTTCA AGACAACGC AAACGCACAG ATCAACACAC  
 TTGCTGGACG AACCTCGGTC TTGACAGGTT TACCATTAGA GGTCATATCC AATGGGTACC  
 AAATCTCACT CGAAGAAGCA AGAAGGGTTA AGTTCAACAC GATCGAGACC ACTTTGACGC  
BglII
 ACAGCAGTGG CCCAGCTAGC TACGGAGGGC CAAGGAAGGC TGATGCTTAA GAGCTTACCC  
 AGTGAACCTC TACTGTAAA GGAAGTTAAA TAGTAATAA AAGAGTAATA ATAATGTACG  
 CAAATGTGAC TGGTTTGTG GAGGTTTGTAG AATGTTACTC CTTTCTCTGAA TAAAATAACT  
 CTTTCTATC AAGGTTTAGC TAGCTGGGCT AATCTATCAA CTTTCTATTTT CGACTACGTC  
HindIII
 TACACATACG TATACGAGAT GCAGGCTTCT CCGAGGATAT AGTGACAGTA TCT

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FIGURE 4 (Sheet 1 of 2)

Oligonucleotide duplex A

5'GGGTTTTTATTATTCTTCAAAATACCTCCCATGGGTAAACGGATCCA 3'  
 3'CCCAAAATAAAAATTAAGAAGAAAGTTATGAAGGTGTACCCATTGCCCTAGGTTCCA 5'

NcoI      BamHI HindIII

Oligonucleotide duplex B

5'CATGAAACTTCCTCAAAAGCTTCCCTTTTATGCCCTTCCCTTTGTTTGGCCAAATACTTTGTAGCTGTACGCATGCTCGAG 3'  
 3' TTTGAAGGAGTTTTCGAAGGGGAAATACGGAAGGAAACAAAACCGGTTATGAACAATCGACAATGCGTACGAGCTCCTAG 5'

SphI XhoI BamHI

Oligonucleotide duplex C

5' . CT CTGGCAGTCCCGCCCTCGAGCCCGCTGCAG 3'  
 3' GTACGA GACCGTCAGGGCGGAGCTCGGGGACGTCCTAG 5'

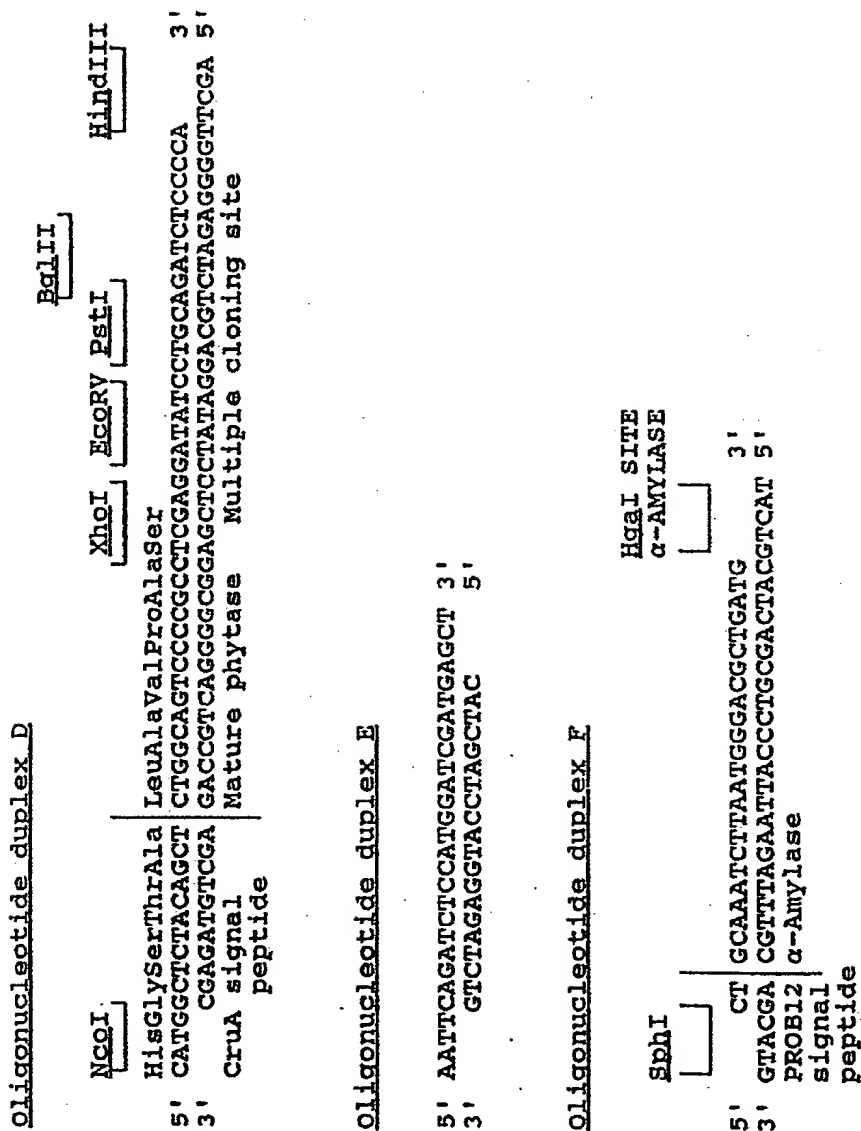
SphI      XhoI      PstI BamHI

PROB12 Mature phytase  
 signal  
 peptide

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FIGURE 4 (Sheet 2 of 2)



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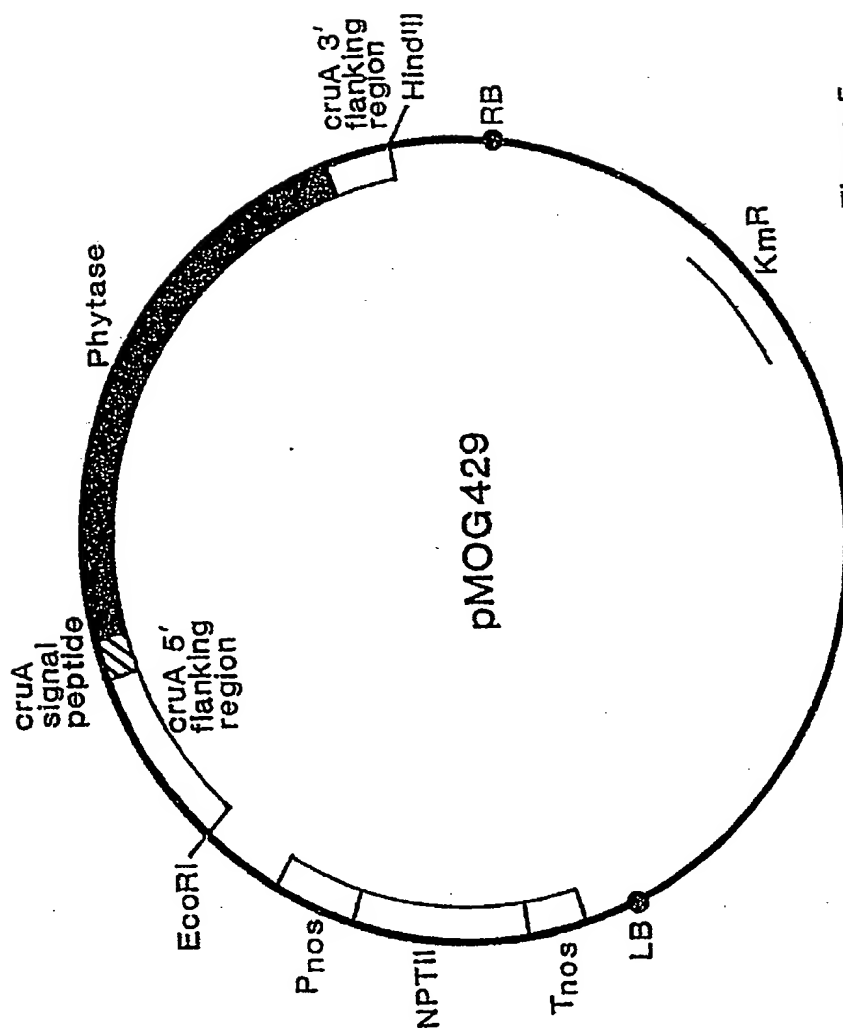


Figure 5

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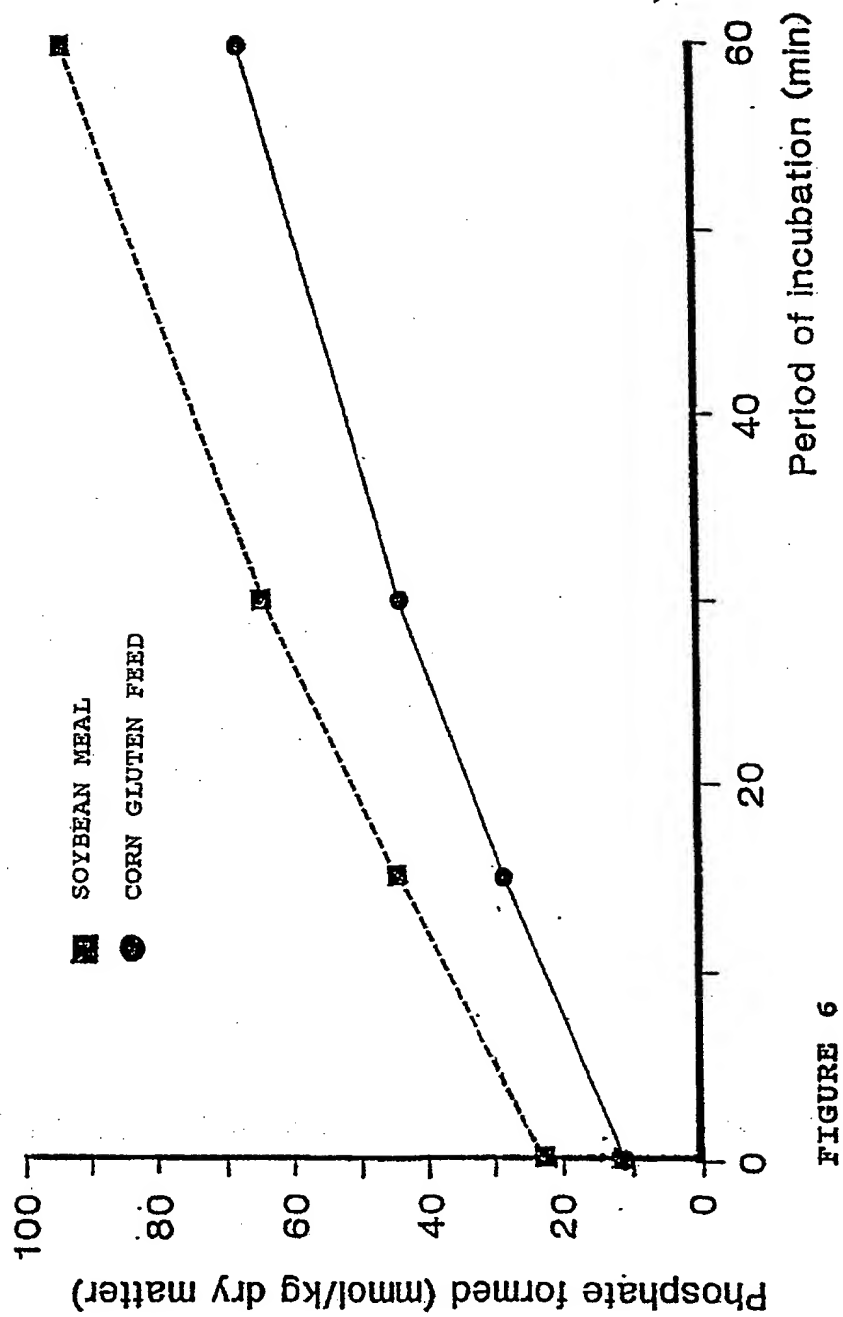


FIGURE 6

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FIGURE 7 (Sheet 1 of 2)

XbaI  
 \*  
 TCTAGAGTC ATGAACAAC AAAAACGGCT TTACGCCCGA TTGCTGACGC TGTATTATTC  
 PstI HgaI  
 GGTCACTC TTC TGTGCTC ATTCCTGCAGC AGCGGCGGCA AATCTTAATG GGACGCTGAT  
 GCAGTATTT GAATGGTACA TGCCCAATGA CGGCCAACAT TGAAGCGTT TGCAAAACGA  
 CTCGGCATAT TTGGCTGAAC ACGGTATTAC TGCCGTCTGG ATTCCCCCGG CATATAAGGG  
 AACGAGCCAA GCGGATGTGG GCTACGGTGC TTACGACCTT TATGATTAG GGGAGTTCA  
 TCAAAAAGGG ACGGTTCCGA CAAAGTACGG CACAAAGGA GAGCTGCAAT CTGCGATCAA  
 AAGTCTTCAT TCCCGCGACA TTAACGTTTA CCGGGATGTG GTCATCAACC ACAAGGCGG  
 CGCTGATGCG ACCGAAGATG TAACCGGGGT TGAAGTCGAT CCGGCTGACC GCAACCGCGT  
 AATTCAGGA GAACACCTAA TTAAAGCCCTG GACACATTTT CATTTTCGG GCGCGGCGAG  
 CACATACAGC GATTTTAAAT GGCATTGGTA CCATTTTGAC GGAACCGATT GGGACGAGTC  
 CCGAAAGCTG AACCGCATCT ATAAGTTTCA AGGAAAGGCT TGGGATTGGG AAGTTTCCAA  
 TGAAAACGGC AACTATGATT ATTTGATGTA TGCCGACATC GATTATGACC ATCCTGATGT  
 CGCAGCAGAA ATTAAGAGAT GGGGCACTTG GTATGCCAAT GAACGTGCAAT TGGACGGTTT  
 CCGTCTTGAT GCTGTCAAAC ACATTAATTTT TTCTTTTGTG CCGGATTGGG TTAATCATGT  
 CAGGGAAAA ACGGGGAAGG AAATGTTTAC GGTAGCTGAA TATTGGCAGA ATGACTTGGG

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**FIGURE 7 (Sheet 2 of 2)**

TACACAGCCG GGGCAATCGC TTGAGTCGAC TGTCCAAACA TGGTTTAAGC CGCTTGCTTA  
CGCTTTTATT CTCAACAAGG AATCTGGATA CCCTCAGGTT TTCTACGGG ATATGTACGG  
GACGAAAGGA GACTCCAGC GCGAAATTCC TGCCTTGAAA CACAAAATG AACCGATCTT  
AAAAGCGAGA AACAGTATG CGTACGGAGC ACAGCATGAT TATTTGACC ACCATGCACAT  
TGTCCGGCTGG ACAAGGGAAG GCGACAGCTC GGTTCGAAAT TCAGGTTTGG CGGCATTAAT  
AACAGACCGA CCCGGTGGG CAAAGCGAAT GTATGTCGGC CGGCAAAACG CCGGTGAGAC  
ATGGCATGAC ATTACCGGA ACCGTTCGGA GCGGTTGTC ATCAATTGG AAGCTGGGG  
AGAGTTTAC GTAACGGCG GGTCCGTTTC AATTATGTT CAAAGATAGA AGAGCAGAGA

## BamHI

GGACGGATT<sup>1</sup> CCTGAAGGAA ATCCGTTTTT TTAATTTGCC CGTCTTATAA ATTCTTTGA  
TTACATTTTA TAATTAATTT TAACAAAAGTG TCATCAGCCC TCAGGAAGGA CTTCCTGACA  
GTTTGAATCG CATAGGTAAG GCGGGGATGA AATGGCAACG TTATCTGATG TAGCAAAGAA  
BCI I  
AGCAAAATGTG TCGAAAAATGA CGGTATCGCG GGTGATCA

# ITB

AGCAAAATGTG TCGAAAATGA CCGTATCCCG GGTGATCA

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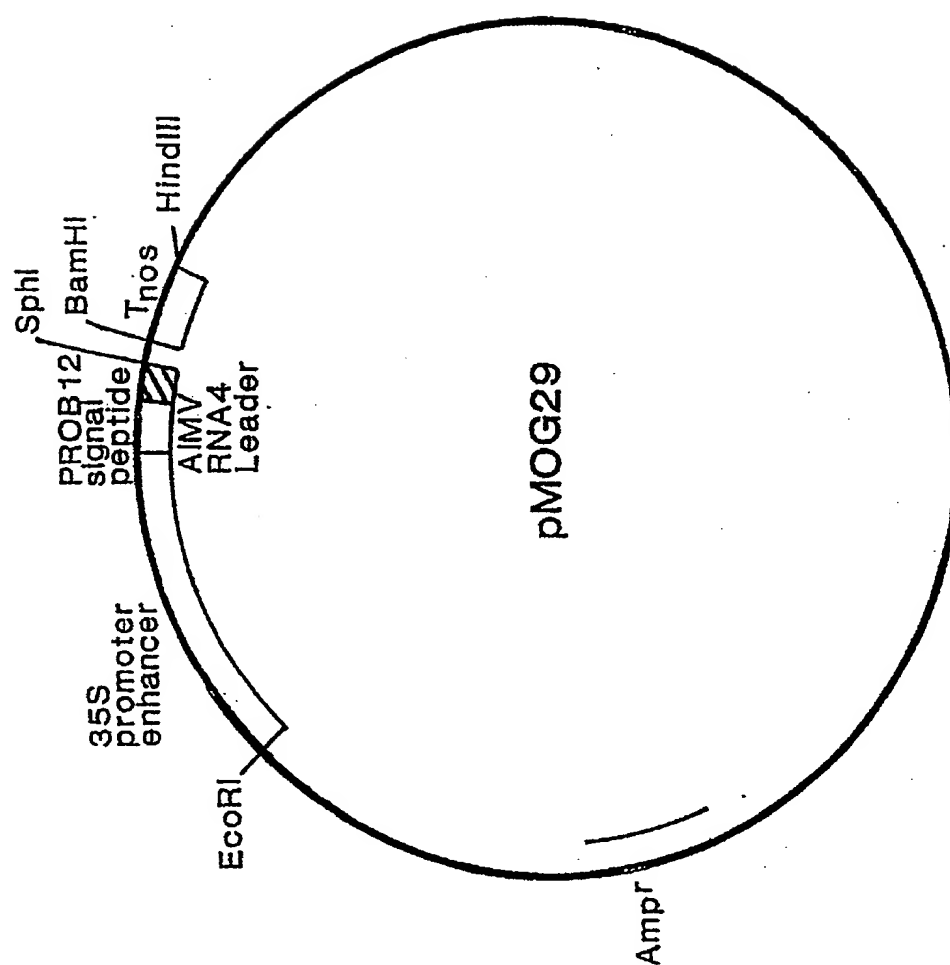


Figure 8

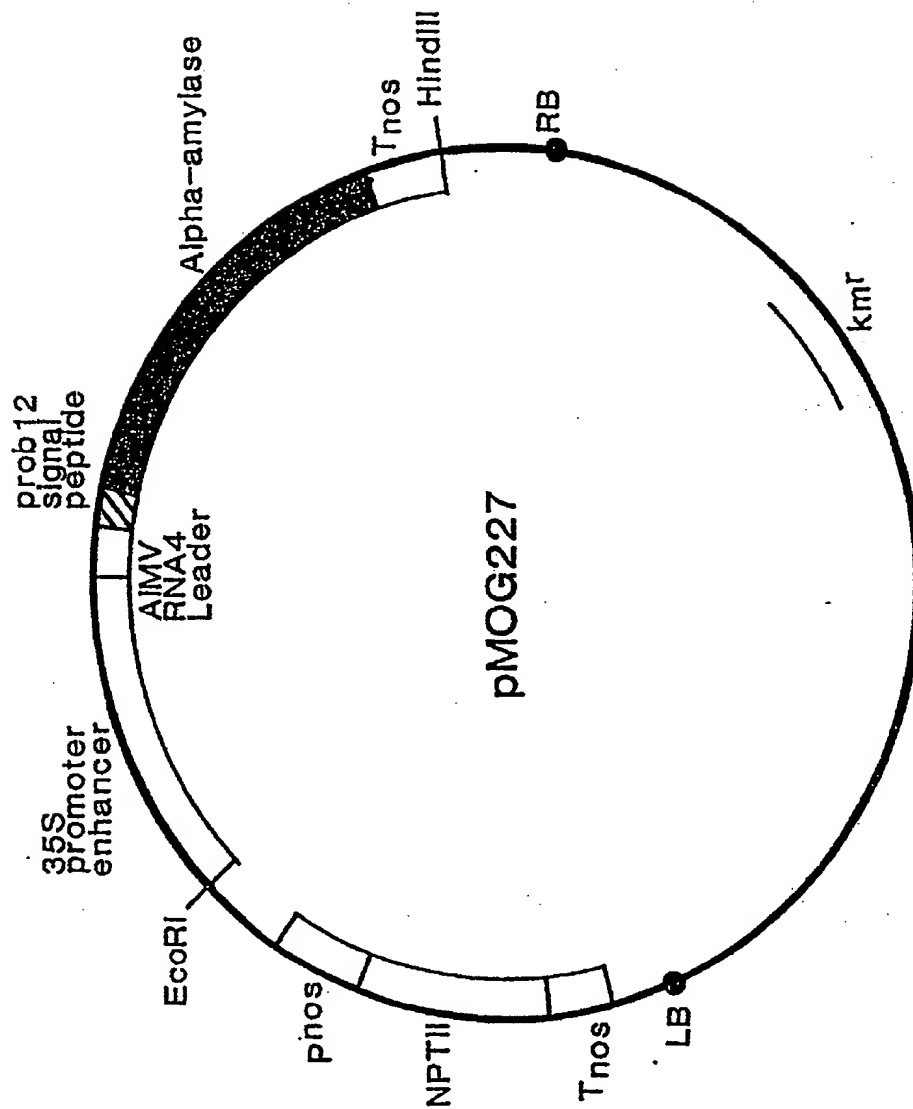


Figure 9

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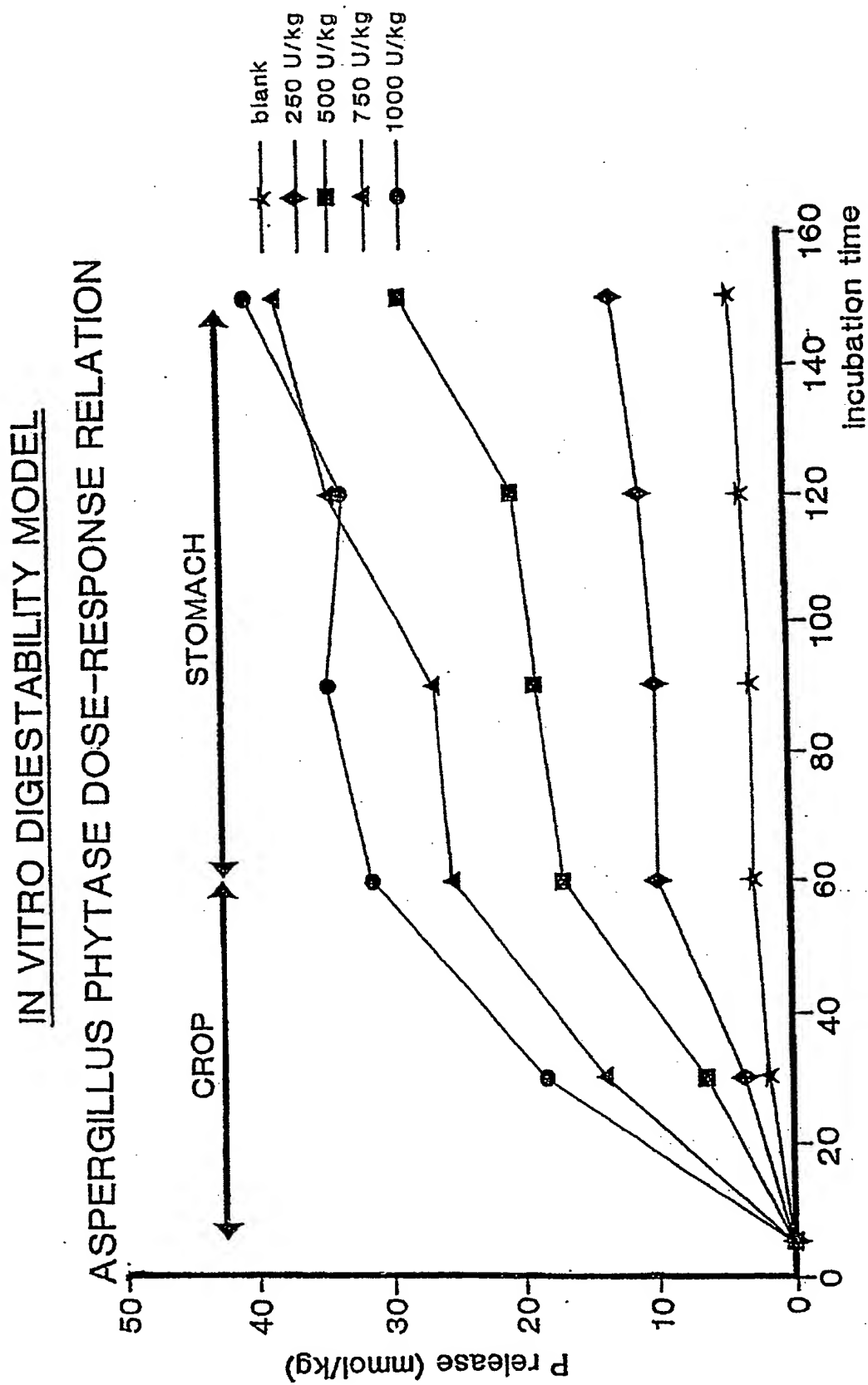


FIGURE 10

IN VITRO DIGESTIBILITY MODEL  
ASPERGILLUS & TOBACCO SEED PHYTASE

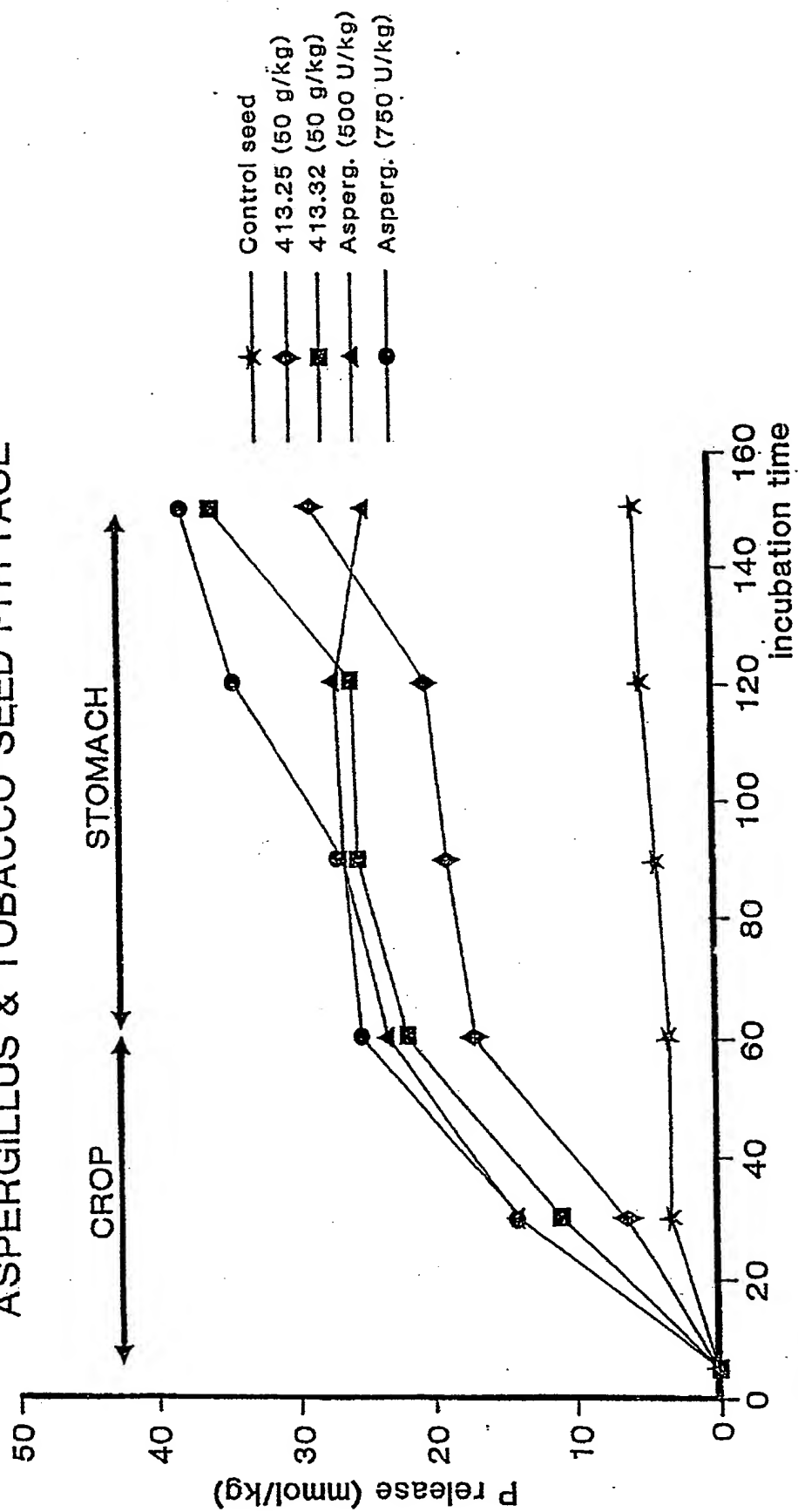
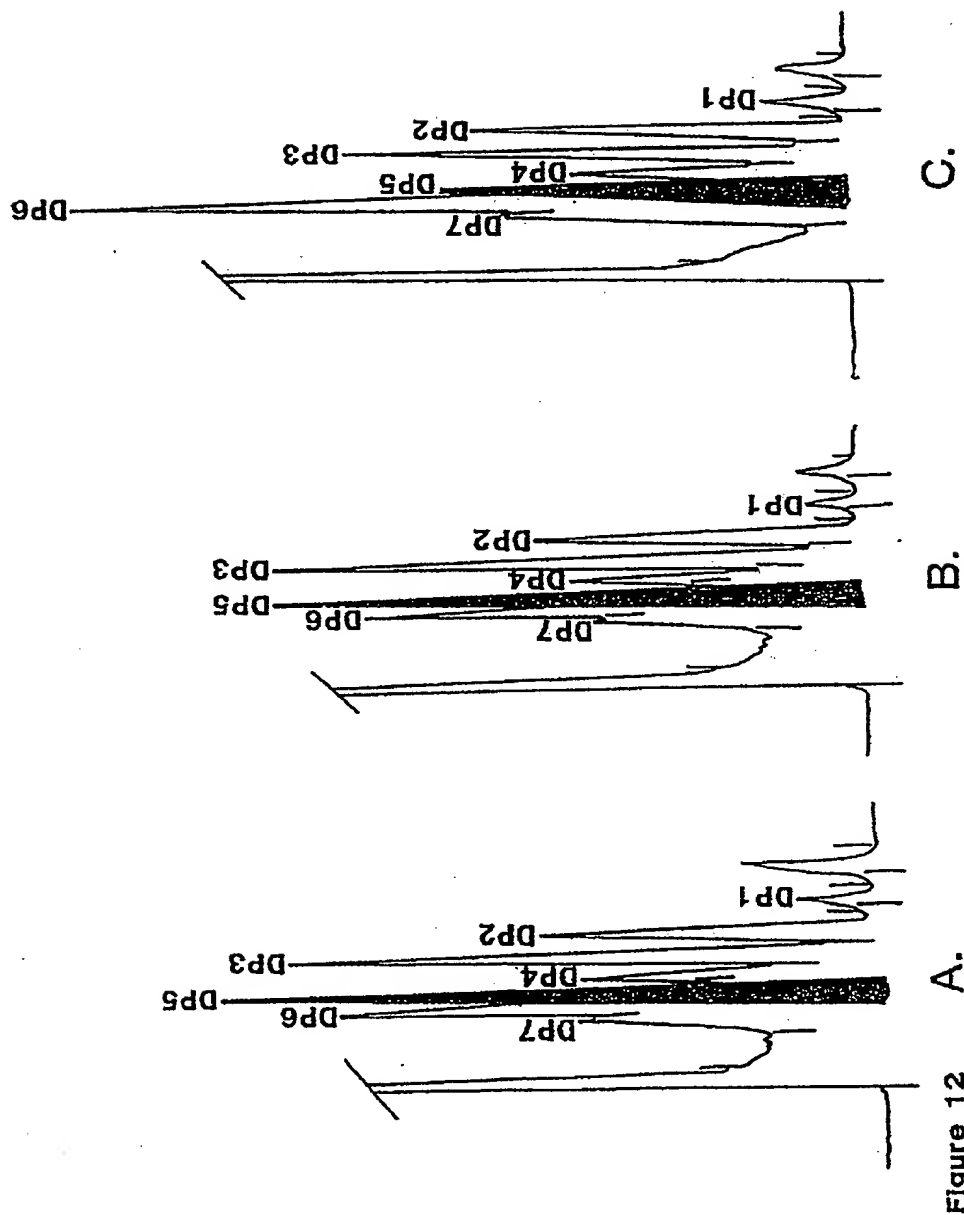
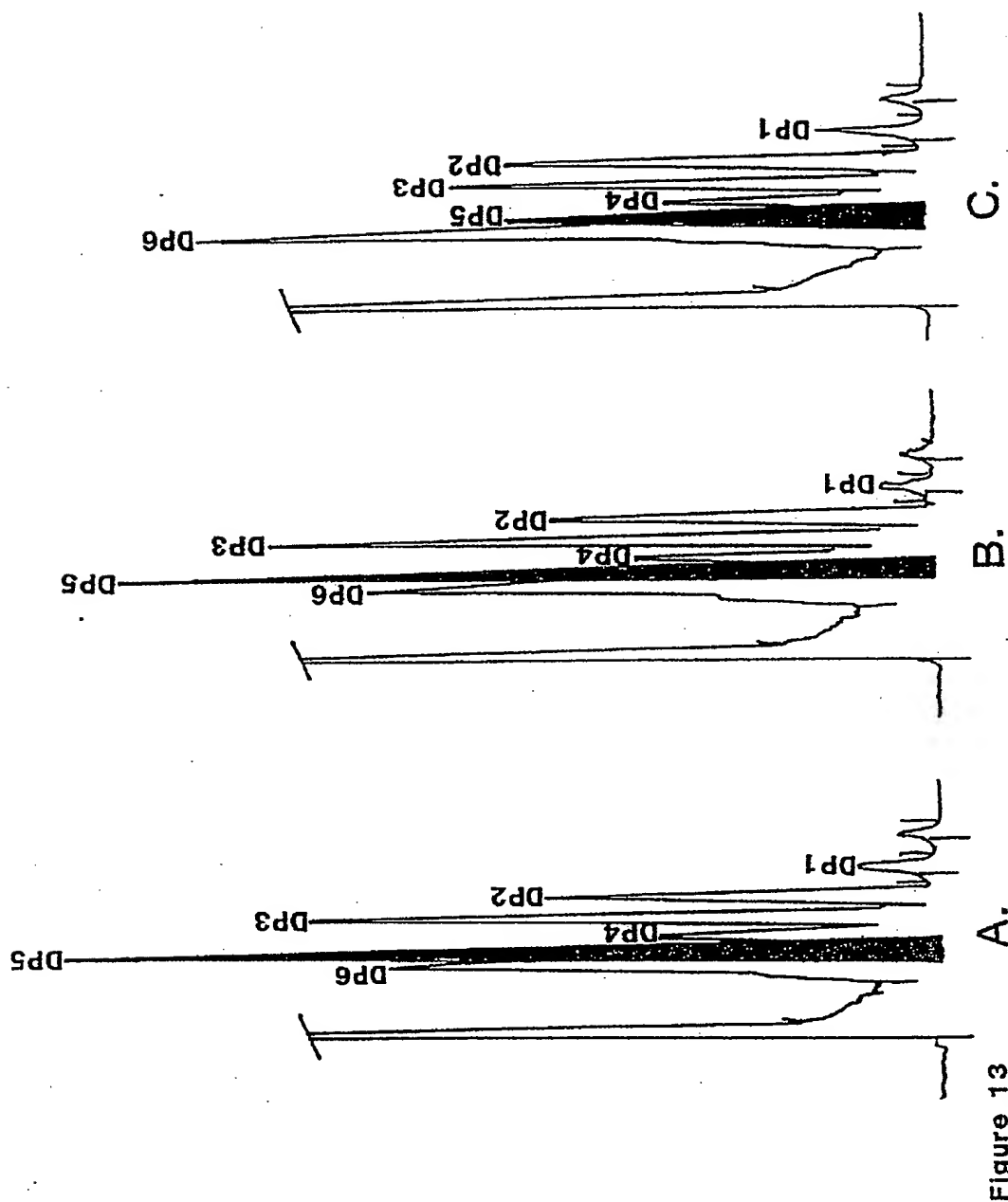


Figure 11








# INTERNATIONAL SEARCH REPORT

International Application No PCT/NL 91/00048

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC <sup>5</sup> : C 12 N 9/28, 9/32, 9/16, 15/56, 15/55, A 23 K 1/165, A 23 L 1/36, A 01 H 5/00, A 61 K 37/54		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
IPC <sup>5</sup>	C 12 N, A 23 K, A 23 L, A 01 H, A 61 K	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	WO, A, 9001551 (ROGERS) 22 February 1990 see page 12, lines 29-32; pages 25-30 --	1-5,16-18, 20,27
X	The Plant Cell, vol. 1, September 1989, American Society of Plant Physiologists, J. Jordano et al.: "A sunflower helianthinin gene upstream sequence ensemble contains an enhancer and sites of nuclear protein interaction", pages 855-866 see pages 860-862; page 864, right- hand column, last paragraph; page 865, left-hand column, paragraph 1 --	1-5,16-18, 20,27
X	US, A, 4116770 (GOERING) 26 September 1978 see the whole document -- ./.	1-6,11,16- 20,27-29
<p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"Z" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
26th June 1991	29.08.91	
International Searching Authority	Signature of Authorized Officer	
EUROPEAN PATENT OFFICE	F.W. HECK 	

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, ** with indication, where appropriate, of the relevant passages	Relevant to Claim No.
X	WPI, Acc. No.: 83-10819K/05, & JP, A, 57206389 (MORITA K.K.) see abstract --	1-6,11,16- 20,27-29
Y	DD, C, 275704 (AKADEMIE DER WISSENSCHAFTEN DER DDR) 31 January 1990 see the whole document cited in the application --	1-6,11,12, 16-20,27-29
Y	Chemical Abstracts, vol. 105, 1986, (Columbus, Ohio, US), K. Hesselman et al.: "The effect of beta-glucanase on the utilization of starch and nitrogen by broiler chickens fed on barley of low- or high- viscosity", see page 574, abstract 132749x, & Anim. Feed Sci. Technol. 1986, 15(2), 83-93 --	1-6,11,12, 16-20,27-29
Y	WO, A, 8700865 (CALGENE) 12 February 1987 see page 9, line 32 - page 10, line 4 --	13,29
Y	Journal of Cellular Biochemistry, Supplement 12C, 1988, Alan R. Liss, Inc., (New York, US), A.A. Christen et al.: "Cloning of the phytase gene from germinating soybeans" see page 190, abstract L 402 --	13
P,X	WO, A, 9009436 (CARLSBERG) 23 August 1990 see page 5, line 28 - page 6, line 6; page 14, line 29 - page 18, line 19; claims 60-63; page 65, claims 66,67 --	1-6,11,16- 20,27-29
P,X	WO, A, 9002484 (WASHINGTON UNIVERSITY) 22 March 1990 see page 32 --	17,19,20,27, 29
A	Journal of Agricultural & Food Chemistry, vol. 36, no. 6, November/December 1988, The American Chemical Society, (Washington, DC, US), Y.W. Han: "Removal of phytic acid from soybean and cottonseed meals", pages 1181-1183 see the whole article -- ./.	13

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, ** with indication, where appropriate, of the relevant passages	Relevant to Claim No.
A	Journal of Agricultural and Food Chemistry, vol. 36, 1988, The American Chemical Society, (Washington, DC, US), Y.W. Han et al.: "Phytate hydrolysis in soybean and cottonseed meals by Aspergillus ficuum phytase", pages 259-262 see summary --	13
A	DE, A, 2505594 (AGRICULTURAL PRODUCTION & VEGETABLE PRODUCTS LTD) 21 August 1975 --	
A	Chemical Abstracts, vol. 105, 1986, (Columbus, Ohio, US), J. Broz et al.: "Effects of beta- glucanase on the feeding value of broiler diets based on barley or oats", see page 569, abstract 23478n, & Arch. Gefluegelkd. 1986, 50(1), 41-7 --	
A	Food Science Technology, abstract 89:10237, T. Gopaldas et al.: "Studies on a wheat-based amylase -rich food", & Food and Nutrition Bulletin, (1988), 10 (3), 55-59 --	
A	US, A, 3297548 (WARE) 10 January 1967 see column 1, lines 25-33 -----	13

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. ☒ OBSERVATION WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>1</sup>

This International search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claim numbers 14, 15 because they relate to subject matter not required to be searched by this Authority, namely:  
see PCT Rule 39.1(iv).
2. ☐ Claim numbers because they relate to parts of the International application that do not comply with the prescribed requirements to such an extent that no meaningful International search can be carried out, specifically:
3. ☐ Claim numbers because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>2</sup>

This International Searching Authority found multiple inventions in this International application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International search report covers all searchable claims of the International application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this International search report covers only those claims of the International application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

NL 9100048

SA 46144

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 20/08/91  
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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A- 9001551	22-02-90	AU-A- 4037289	05-03-90
		EP-A- 0428572	29-05-91
US-A- 4116770	26-09-78	None	
DD-C- 275704		None	
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		AU-A- 6196686	05-03-87
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		EP-A- 0433372	26-06-91
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		FR-A,B 2329211	27-05-77
		US-A- 4259358	31-03-81
US-A- 3297548		DE-B- 1300488	
		GB-A- 1064304	
		NL-A- 6509747	31-01-66

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